

# E-Closed Structures

AMS ASL

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Gerald E. Sacks  
Harvard University  
MIT

# Normann Schemes

$\vec{x}$  is  $x_1, \dots, x_n$

- (1) projection       $\{e\}(\vec{x}) = x_i$       if  $e = \langle 1, n, i \rangle$
- (2) difference       $\{e\}(\vec{x}) = x_i - x_j$       if  $e = \langle 2, n, i, j \rangle$ .
- (3.1) pairing       $\{e\}(\vec{x}) = \{x_i, x_j\}$       if  $e = \langle 3, 1, n, i, j \rangle$ .
- (3.2) union       $\{e\}(\vec{x}) = \cup\{y \mid y \in x_1\}$       if  $e = \langle 3, 2, n \rangle$ .

# More Normann Schemes

(4) E-recursive bounding

$$\{e\}(\vec{x}) = \{\{c\}(y) \mid y \in x_1\}$$

if  $e = \langle 4, n, c \rangle$ .

(5) composition

$$\{e\}(\vec{x}) = \{c\}(\{\{d_1\}(\vec{x}), \dots, \{d_m\}(\vec{x})\})$$

if  $e = \langle 5, n, m, c, d_1, \dots, d_m \rangle$

(6) enumeration

$$\{e\}(c, \vec{x}, \vec{y}) = \{c\}(\vec{x})$$

if  $e = \langle 6, m, n \rangle$ .

# E-Recursion Theory

$E$  is the **class of E-recursive evaluations**.

A tuple  $\langle e, \vec{x}, y \rangle$  is put in  $E$

iff the schemes determine a value  $y$  for  $\{e\}(\vec{x})$ .

$E$  is the range of a  $\Sigma_1$  transfinite recursion on  $ORD$ .

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$f$  is a **partial E-recursive function**

iff  $\exists e \forall \vec{x} \quad f(\vec{x}) = \{e\}(\vec{x})$ .

# E-Recursive Enumerability

$A$  is **E-recursively enumerable in  $y$**  iff

$$\exists e A = \{x \mid \{e\}(x, y) \downarrow\}.$$

$A$  is **E-recursively enumerable** iff  $A$  is  $E$ -RE in  $\emptyset$ .

There exists a total  $E$ -recursive function

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$L$  is  $E$ -RE iff  $V = L$ .

If  $A$  and its complement are  $E$ -RE, then  $A$  is  $E$ -recursive.

# Computations

A **computation instruction** is an  $(n + 1)$ -tuple  $\langle e, \vec{x} \rangle$ .

$\langle e, \vec{x} \rangle$  is the top node of the computation tree  $T_{\langle e, \vec{x} \rangle}$ .

Every other node is an **immediate subcomputation instruction** of the node above it.

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If  $e = \langle 5, 1, 1, c, d \rangle$ ,

then  $\langle d, x \rangle$  is an im. sub. in. of  $\langle e, \vec{x} \rangle$ .

If  $\{d\}(x) = y$ , then  $\langle c, y \rangle$  is an im. sub. in. of  $\langle e, \vec{x} \rangle$ .

# More Computations

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- The relation  $b >_U a$  is  $E$ -RE but not  $E$ -REC.
- The relation  $\exists c b >_U c >_U a$  is not  $E$ -RE.
- **Lemma**  $\{e\}(\vec{x}) \downarrow \iff T_{\langle e, \vec{x} \rangle}$  is wellfounded.

# Divergence Witnesses

Suppose  $\{e\}(x) \uparrow$  (diverges). Then  $T_{\langle e, x \rangle}$  is illfounded.

A **witness  $w$  to the divergence of  $\{e\}(x)$**   
is any  $\infty$  branch of  $T_{\langle e, x \rangle}$ .

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- $w(n+1)$  is an immed. subcomp. instruc. of  $w(n)$ .
- **Lemma** (Moschovakis) The predicate  $\{e\}(x) \uparrow$  is  $\Sigma_1$ .

# Gandy Selection

**Define**  $|\{e\}(x)| = \left| T_{\{e\}(x)} \right|$  if  $\{e\}(x) \downarrow$ ,  $= \infty$  otherwise.

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Then  $\min(|\{e\}(x)|, |\{d\}(y)|)$  is  $E$ -REC in  $e, x, d, y$ .

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## Theorem

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if  $g(e, x) \downarrow$ , then  $g(e, x) \in \omega$  and  $\{e\}(g(e, x), x) \downarrow$ .



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**Lemma** Suppose  $P(x, y)$  is  $E - RE$  and

$$\forall x \in z \exists y \leq_E x \wedge P(x, y).$$

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**Lemma** Suppose  $P(x, y)$  is  $E - RE$  and

$$\forall x \in z \exists y \leq_E x \wedge P(x, y).$$

Then there exists a partial  $E - REC$   $f$  such that

$$\forall x \in z f(x) \downarrow \wedge P(x, f(x)).$$

# E-Closed Sets

Say  $b$  is **E-closed** iff  $b$  is transitive

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**Define**  $\kappa^x$  by  $\forall \gamma \ \gamma < \kappa^x$  iff

$\gamma = \{e\}(x, \vec{a})$  for some  $e$  and  $\vec{a} \in tc(x)$ .

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$E(\omega_1)$  is not  $\Sigma_1$  admissible.

# Reflection

$$\kappa_0^x = \sup\{\gamma \mid \gamma \leq_E x\}.$$

$tc(y)$  is the transitive closure of  $y$ .

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Say  $\delta$  is  $x$  — **reflecting** iff

$L(\delta, tc(\{x\})) \models \mathcal{F}$  implies  $L(\kappa_0^x, tc(\{x\})) \models \mathcal{F}$   
for every  $\Sigma_1$  sentence  $\mathcal{F}$  with parameter  $x$ .

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$\kappa_r^x$  is the greatest  $x$  – *reflecting* ordinal.

$$\kappa_r^{x,a} \leq \kappa^x \text{ for all } a \in tc(x).$$

# Reflection and Divergence

Assume  $x \subset Ord$ . Then:

If  $\{e\}(x) \uparrow$ , then some witness to the divergence  
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**Z admits divergence witnesses iff**  $(\forall y \in Z)$  if  $\{e\}(y) \uparrow$   
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$E(x)$  is not  $\Sigma_1$  admissible IFF  
 $E(x)$  admits divergence witnesses.



# The Divergence-Admissibility Split

Assume  $L(\kappa)$  is  $E$ -closed. Then (a) $\longleftrightarrow$ (b).

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(a)  $L(\kappa)$  does not admit divergence witnesses.

(b)  $L(\kappa)$  is  $\Sigma_1$  admissible. And for all  $A \subseteq L(\kappa)$ :

$A$  is  $\Sigma_1^{L(\kappa)}$  iff  $A$  is  $E$ -RE on  $L(\kappa)$ .

# Relativization

**Relativizing E-recursion to B** means adding a scheme

$$\{e\}^B(\vec{x}) = B \cap x_i \quad (e = \langle 7, n, i \rangle)$$

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$L(\kappa)$  is **E-closed relative to B** iff

$$\{e\}^B(\vec{x}) \downarrow \longrightarrow \{e\}^B(\vec{x}) \in L(\kappa)$$

$$\forall e < \omega \quad \forall \vec{x} \in L(\kappa).$$

# Reducibility

Assume  $A, B \subseteq L(\kappa)$ .

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**A is E-reducible to B** iff

$$\exists e, p \in L(\kappa) \quad \forall x \in L(\kappa) \quad \{e\}^B(x, p) \downarrow$$

$L(\kappa)$  is  $E$ -closed relative to  $B$ .

$$x \in A \iff \{e\}^B(x, p) = 1 \quad (\forall x \in L(\kappa)).$$

# Post's Problem

$B$  is **E-RE** on  $L(\kappa)$  IFF  $\exists e, p \in L(\kappa)$

$$\forall x \in L(\kappa) \quad x \in B = \{e\}(x, p) \downarrow.$$

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Assume  $L(\kappa)$  is  $E$ -closed.

Then there exist two subsets of  $L(\kappa)$ ,

both  $E$ -RE on  $L(\kappa)$ ,

such that neither is  $E$ -reducible to the other.

# Forcing

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If  $\mathcal{P}$  is *c.c.c.*, or countably closed,

and  $G$  is  $\mathcal{P}$ -generic, then  $L(\kappa, G)$  is  $E$ -closed.

# Logic on an E-closed Set

Assume  $L(\kappa)$  is  $E$ -closed.

$\mathcal{L}$  is an  $E$ -REC on  $L(\kappa)$  set of atomic symbols.

$\mathcal{L}_{\kappa,\omega}$  is the restriction of  $\mathcal{L}_{\infty,\omega}$  to  $L(\kappa)$ .

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$\mathcal{F}$  is a sentence of  $\mathcal{L}_{\kappa,\omega}$ .

$\Delta \vdash \mathcal{F}$  means  $\mathcal{F}$  is deducible from  $\Delta$  in  $\mathcal{L}_{\infty,\omega}$ .



# Deductions

$\Delta \vdash_{\kappa} \mathcal{F}$  means  $\Delta \vdash \mathcal{F}$  via a deduction in  $L(\kappa)$ .

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iff for all  $\mathcal{F}$ ,

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**$\Delta$  is  $\kappa$ -consistent** iff  $\Delta \not\vdash_{\kappa} (\mathcal{F} \wedge \neg \mathcal{F})$

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If  $\Delta$  is  $\kappa$ -consistent, then  $\Delta$  is  $\mathcal{L}_{\infty, \omega}$ -consistent.