

# Effective Categoricity of Injection Structures

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The Incomputable

# Outline

- 1 Injection Structures
- 2 Spectrum Questions
- 3 Decidability

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- 5  $\Sigma_1^0$  and  $\Pi_1^0$  Structures

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# Collaborators

This is joint work with  
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and  
Jeffrey B. Remmel



## Background

- A computable structure  $\mathcal{A}$  is said to be *computably categorical* if any computable structure  $\mathcal{B}$  which is isomorphic to  $\mathcal{A}$  is computably isomorphic to  $\mathcal{A}$
- A computable structure  $\mathcal{A}$  is said to be  $\Delta_2^0$  *categorical* if any computable structure  $\mathcal{B}$  which is isomorphic to  $\mathcal{A}$  is  $\Delta_2^0$  isomorphic to  $\mathcal{A}$ .
- The computable categoricity of many interesting structures has been studied.
- Computable categoricity of abelian groups by Goncharov (Algebra and Logic 1975)
- Computable categoricity of linear orderings (Proc AMS 1981) and of Boolean algebras (JSL 1981) by Remmel

## Previous Work on $\Delta_2^0$ Categoricity

- McCoy studied Boolean algebras and linear orderings (APAL 2003)
- Calvert, Cenzer, Harizanov and Morozov studied equivalence structures (APAL 2006) and Abelian groups (APAL 2009)

## Countable Injection Structures

- $\mathcal{A} = (A, f)$   
where  $A$  is a set and  
 $f : A \rightarrow A$  is an injection

- The orbit of  $a$  is

$$Or_f(a) = \{b \in A : (\exists n \in \mathbb{N})(f^n(a) = b \vee f^n(b) = a)\}.$$

- The order of  $a$  is

$$|a|_f = \text{card}(Or_f(a))$$

- The *character* of  $\mathcal{A}$  is

$$\chi(\mathcal{A}) = \{(k, n) : \mathcal{A} \text{ has at least } n \text{ orbits of size } k\}.$$

- $Fin(\mathcal{A}) = \{a : [a] \text{ is finite}\}$   
 $Inf(\mathcal{A}) = \{a : [a] \text{ is infinite}\}$

## Infinite Orbits

- Injection structures  $(A, f)$  may have two types of infinite orbits
- $\mathbb{Z}$ -orbits are isomorphic to  $(\mathbb{Z}, S)$   
in which every element is in the range of  $f$
- $\omega$ -orbits are isomorphic to  $(\omega, S)$  and have the form  $\mathcal{O}_f(a) = \{f^n(a) : n \in \mathbb{N}\}$  for some  $a \notin \text{Rng}(f)$ .
- Thus injection structures are characterized (up to isomorphism) by the character as well as the number of orbits of types  $\mathbb{Z}$  and  $\omega$ .

## Complexity of the Orbits and Character

- Let  $\mathcal{A} = (\omega, f)$  be a computable injection structure
- Each infinite orbit is a  $\Sigma_1^0$  set
- **Lemma**
  - $\{(k, a) : a \in \text{Rng}(f^k)\}$  is a  $\Sigma_1^0$  set,
  - $\{(a, k) : \text{card}(\mathcal{O}_f(a)) \geq k\}$  is a  $\Sigma_1^0$  set,
  - $\{a : \mathcal{O}_f(a) \text{ is infinite}\}$  is a  $\Pi_1^0$  set,
  - $\{a : \mathcal{O}_f(a) \text{ has type } Z\}$  is a  $\Pi_2^0$  set,
  - $\{a : \mathcal{O}_f(a) \text{ has type } \omega\}$  is a  $\Sigma_2^0$  set, and
  - $\chi(\mathcal{A})$  is a  $\Sigma_1^0$  set.

# Existence

- **Proposition** For any  $\Sigma_1^0$  character  $K$ , there is a computable injection structure  $\mathcal{A}$  such that
  - 1  $\chi(\mathcal{A}) = K$
  - 2  $Fin(\mathcal{A})$  is computable.
  - 3  $\mathcal{A}$  may have any specified countable number of orbits of types  $\omega$  and  $\mathbb{Z}$ .

# Categoricity

- Let  $\mathcal{A}$  be a computable structure.
  - 1  $\mathcal{A}$  is *computably categorical* if, any computable structure which is isomorphic to  $\mathcal{A}$  is computably isomorphic to  $\mathcal{A}$ ;
  - 2  $\mathcal{A}$  is  $\Delta_\alpha^0$ -categorical if any computable  $\mathcal{B}$  isomorphic to  $\mathcal{A}$  is  $\Delta_\alpha^0$  isomorphic to  $\mathcal{A}$ ;
  - 3  $\mathcal{A}$  is relatively *computably categorical* if, for any computable  $\mathcal{B}$  which is isomorphic to  $\mathcal{A}$ , there exists an isomorphism between  $\mathcal{A}$  and  $\mathcal{B}$  which is computable from  $\mathcal{B}$ .
  - 4  $\mathcal{A}$  is relatively  $\Delta_\alpha^0$ -categorical if, any structure  $\mathcal{B} \cong \mathcal{A}$ , there is an isomorphism which is  $\Delta_\alpha^0$ -computable from  $\mathcal{B}$ .
- Relative categoricity implies categoricity but the converse does not hold in general

# Computably Categorical Structures

- **Theorem 1**  $\mathcal{A}$  is computably categorical if and only  $\mathcal{A}$  has only finitely many infinite orbits.
- **Sketch:** The categoricity follows from the following fact:  
If  $\mathcal{A}$  has finitely many infinite orbits then both  $Fin(\mathcal{A})$  and  $Inf(\mathcal{A})$  are  $\Sigma_1^0$  and hence both are computable.

The other direction is sketched below



## Scott Families

- A *Scott family* for a structure  $\mathcal{A}$  is a countable family  $\Phi$  of  $L_{\omega_1\omega}$  formulas, possibly with finitely many fixed parameters from  $\mathcal{A}$ , such that:
  - (i) Each finite tuple in  $\mathcal{A}$  satisfies some  $\psi \in \Phi$ ;
  - (ii) If  $\vec{a}, \vec{b}$  are tuples in  $\mathcal{A}$ , of the same length, satisfying the *same* formula in  $\Phi$ , then there is an automorphism of  $\mathcal{A}$  that maps  $\vec{a}$  to  $\vec{b}$ .
- **Theorem** Let  $\mathcal{A}$  be a computable structure. Then the following are equivalent:
  - (a)  $\mathcal{A}$  is relatively  $\Delta_\alpha^0$  categorical;
  - (b)  $\mathcal{A}$  has a c.e. Scott family consisting of computable  $\Sigma_\alpha$  formulas.

## Relative Computable Categoricity

- **Theorem 2** A computable injection structure  $\mathcal{A}$  is relatively computably categorical if and only if  $\mathcal{A}$  has finitely many infinite orbits.
- **Sketch:** For parameters take one element from each of the infinite orbits. The Scott formula for a sequence  $(a_1, \dots, a_m)$  of elements states whether  $a_j$  is in one of those infinite orbits or has finite order and also for any  $i \in \omega$ , whether  $f^i(a) = b$  for  $a$  and  $b$  taken from  $a_1, \dots, a_m$  plus the parameters.

## The Other Direction

- If  $\mathcal{A}$  infinitely many infinite orbits, then in fact  $\mathcal{A}$  is not computably categorical.  
There are two cases.
- First suppose that  $\mathcal{A} = (\omega, f)$  has infinitely many orbits of type  $\omega$ .  
We may assume that  $Rng(f)$  is a computable set.  
Then we build  $\mathcal{B} = (\omega, g)$  isomorphic to  $\mathcal{A}$  such that  $Rng(g)$  is not computable.
- Next suppose that  $\mathcal{A}$  has infinitely many orbits of type  $\mathbb{Z}$ .  
We may assume that each orbit of  $\mathcal{A}$  is computable.  
Then we build  $\mathcal{B}$  isomorphic to  $\mathcal{A}$  with a particular non-computable orbit.

## A Corollary

- **Corollary** A computable injection structure  $\mathcal{A}$  is relatively computably categorical iff  $\mathcal{A}$  is computably categorical.

## $\Delta_2^0$ categorical structures

- **Theorem 3** Suppose  $\mathcal{A}$  either does not have infinitely many orbits of type  $\omega$  or does not have infinitely many orbits of type  $\mathbb{Z}$ . Then  $\mathcal{A}$  is relatively  $\Delta_2^0$  categorical.
- **Sketch:** Since  $Fin(\mathcal{A})$  is a c. e. set and each infinite orbit is also a c. e. set, there is a  $\Delta_2^0$  partition of  $\mathcal{A}$  into three sets:  $Fin(\mathcal{A})$ , the orbits of type  $\omega$  ( $A_\omega$ ), and the orbits of type  $\mathbb{Z}$  ( $A_{\mathbb{Z}}$ ); similarly partition  $\mathcal{B}$ .
- We can construct isomorphisms between the three parts of  $\mathcal{A}$  and the corresponding parts of  $\mathcal{B}$ .
- For the orbits of type  $\omega$ , note that the set of beginning elements of orbits is simply  $\omega \setminus Rng(f)$  and is therefore a  $\Pi_1^0$  set.

## Non- $\Delta_2^0$ Categorical Structures

- **Theorem 4** If  $\mathcal{A}$  has infinitely many orbits of type  $\omega$  and infinitely many orbits of type  $\mathbb{Z}$ , then  $\mathcal{A}$  is not  $\Delta_2^0$  categorical.
- **Sketch:** Consider structures with infinitely many orbits of type  $\omega$ , infinitely many orbits of type  $\mathbb{Z}$ , and no finite orbits. There is a computable structure  $\mathcal{A}$  such that  $\mathcal{A}_\omega$  and  $\mathcal{A}_\mathbb{Z}$  are computable sets. Build a computable structure  $\mathcal{B}$  such that  $\mathcal{B}_\omega$  is *not*  $\Delta_2^0$ . Then  $\mathcal{A}$  and  $\mathcal{B}$  are not  $\Delta_2^0$  isomorphic.

## The Construction

- Let  $C$  be an arbitrary  $\Sigma_2^0$  set.
- We will define  $g$  such that  $\mathcal{O}_g(2i + 1)$  has type  $\omega$  if and only if  $i \in C$ .
- The orbits of  $\mathcal{B} = (B, g)$  will be exactly  $\{\mathcal{O}_g(2i + 1) : i \in \mathbb{N}\}$ .
- There is a computable function  $\phi$  such that  $i \in C$  iff  $W_{\phi(i)}$  is finite.

Every time a new element comes into  $W_{\phi(i)}$  extend the orbit of  $2i + 1$  to the left.

## A Corollary

- **Corollary** A computable injection structure  $\mathcal{A}$  is relatively  $\Delta_2^0$  categorical iff  $\mathcal{A}$  is  $\Delta_2^0$  categorical.



## $\Delta_3^0$ Categoricity

- **Theorem** Every computable injection structure is relatively  $\Delta_3^0$  categorical.

# Computably Enumerable Degrees

- The proof of Theorem 1 has the following corollaries.
- **Corollary** Let  $\mathbf{d}$  be a c. e. degree.
  - 1 If  $\mathcal{A}$  is a computable injection structure which has infinitely many orbits of type  $\omega$ , then there is a computable injection structure  $\mathcal{B} = (B, g)$  isomorphic to  $\mathcal{A}$  in which  $Rng(g)$  is a c. e. set of degree  $\mathbf{d}$ .
  - 2 If  $\mathcal{A}$  is a computable injection structure which has infinitely many infinite orbits of type  $\mathbb{Z}$ , then there is a computable injection structure  $\mathcal{B} = (B, g)$  isomorphic to  $\mathcal{A}$  in which  $\mathcal{O}_g(1)$  is of type  $\mathbb{Z}$  and is a c. e. set of degree  $\mathbf{d}$ .

## The Complexity of $Fin(\mathcal{A})$

- $Fin(\mathcal{A})$  is always a c.e. set but cannot be an arbitrary c.e. set
- If  $\mathcal{A}$  has an infinite orbit, then this orbit will be an infinite c.e. set in the complement of  $Fin(\mathcal{A})$ .
- Thus  $Fin(\mathcal{A})$  cannot be a simple c.e. set.
- There is a c.e. set which is not simple but is an orbit.

## The Degree of $Fin(\mathcal{A})$

- **Theorem** Let  $\mathbf{c}$  be a c. e. degree.  
Let  $\mathcal{A} = (A, f)$  be a computable injection structure such that  $Fin(\mathcal{A})$  is infinite,  $\mathcal{A}$  has infinitely many orbits of size  $k$  for every  $k \in \omega$ , and  $\mathcal{A}$  has infinitely many infinite orbits. Then there is a computable injection structure  $\mathcal{B} = (B, g)$  such that  $\mathcal{B}$  is isomorphic to  $\mathcal{A}$  and  $Fin(\mathcal{B})$  is of degree  $\mathbf{c}$ .

## Some Spectrum Results

- **Theorem** For any infinite co-infinite c. e. set  $C$  and any c. e. character,
  - (i) There is a computable injection structure  $(\mathbb{N}, g)$  with  $Rng(g) = C$  consisting of infinitely many orbits of type  $\omega$ .
  - (ii) If  $C$  is not simple, then there is a computable injection structure  $(\mathbb{N}, h)$  with character  $K$ , with  $Rng(h) \equiv_T C$ , and with an arbitrary number of orbits of type  $\mathbb{Z}$ .
- **Theorem** For any c. e. set  $C$  and any computable injection structure  $\mathcal{A}$  with infinitely many infinite orbits, there is a computable injection structure  $\mathcal{B}$  isomorphic to  $\mathcal{A}$  such that  $C$  is 1 – 1 reducible to an orbit of  $\mathcal{A}$ .

## Character versus Theory

- $Th(\mathcal{A})$  is the first-order theory of  $\mathcal{A}$
- $FTh(\mathcal{A})$  is the elementary diagram of  $\mathcal{A}$   
 $\mathcal{A}$  is *decidable* if  $FTh(\mathcal{A})$  is computable.
- **Proposition**  $\chi(\mathcal{A})$  is many-one reducible to  $Th(\mathcal{A})$ .  
If  $Th(\mathcal{A})$  is decidable, then  $\chi(\mathcal{A})$  is computable.

## Computing $FTh(\mathcal{A})$

- For an injection structure  $\mathcal{A} = (A, f)$ ,  
let  $R^{\mathcal{A}}(n, a) \iff (\exists x)f^n(x) = a$
- **Theorem**  $Fth(\mathcal{A})$  is computable from  $R^{\mathcal{A}}$  together with  $\mathcal{A}$ .  
Sketch: Use quantifier elimination as in the theory of successor.  
First add the relations  $\gamma_n$  where  $\gamma_n(a) \iff R^{\mathcal{A}}(a, n)$ .
- **Theorem** For any  $\mathcal{B}$ , there exists  $\mathcal{A}$  isomorphic to  $\mathcal{B}$ ,  
such that  $\mathcal{A}$  and  $R^{\mathcal{A}}$  are computable from  $\chi(\mathcal{A})$ .

## Decidability of $Th(\mathcal{A})$

- **Theorem** For any injection structure  $\mathcal{A}$ ,  $Th(\mathcal{A})$  and  $\chi(\mathcal{A})$  have the same Turing degree.  
Thus  $Th(\mathcal{A})$  is decidable if and only if  $\chi(\mathcal{A})$  is computable.
- **Theorem** If  $\chi(\mathcal{B})$  is computable, then there is a decidable  $\mathcal{A}$  isomorphic to  $\mathcal{B}$ . (Hence  $Th(\mathcal{B})$  is decidable.)
- **Corollary** If  $\mathcal{A}$  has bounded character, then  $Th(\mathcal{A})$  is decidable.



## Decidability of Computably Categorical Structures

- **Proposition** There is a computably categorical injection structure  $\mathcal{A}$  such that  $Th(\mathcal{A})$  is not decidable.

Sketch: Let  $W$  be a non-computable c.e. set and let  $\mathcal{A}$  have character  $\{(n, 1) : n \in W\}$  and no infinite orbits.

This contrasts with the result for equivalence structures.

- **Proposition** For any computable character  $K$ , there is a decidable injection structure  $\mathcal{A}$  with character  $K$  and with any number of orbits of types  $\omega$  and  $Z$ . Furthermore,  $\{a : \mathcal{O}_f(a) \text{ is finite}\}$  is computable.

Sketch: There is a computable structure  $\mathcal{B}$  with character  $K$  and thus there is a decidable structure  $\mathcal{A}$  isomorphic to  $\mathcal{B}$ .

- **Corollary** If  $\mathcal{B}$  has computable character and no infinite orbits, then  $\mathcal{B}$  is decidable.

## Being Injective

- **Theorem**  $Inj = \{e : \mathcal{A}_e \text{ is an injection structure}\}$  and the set of indices of finitary injection structures are  $\Pi_2^0$  complete sets.

## The Infinite Orbits

- $Inj_m = \{e : \mathcal{A}_e \text{ structure with exactly } m \text{ orbits of type } \omega\}$ .  
 $Inj_{\leq m} = \{e : \mathcal{A}_e \text{ structure with } \leq m \text{ orbits of type } \omega\}$   
and similarly define  $Inj_{< m}$ ,  $Inj_{> m}$  and  $Inj_{\geq m}$ .  
 $Inj^n = \{e : \mathcal{A}_e \text{ structure with exactly } n \text{ orbits of type } \mathbb{Z}\}$   
and similarly define  $Inj^{\leq n}$ ,  $Inj^{< n}$ ,  $Inj^{> n}$  and  $Inj^{\geq n}$ .  
Combine these to define for example  $Inj_m^n$  to be  
 $\{e : \mathcal{A}_e \text{ has } m \text{ orbits of type } \omega \text{ and } n \text{ orbits of type } \mathbb{Z}\}$ .
- **Theorem**  $Inj_{\leq m}$  is  $\Pi_2^0$  complete,  $Inj_{> m}$  is  $\Sigma_2^0$  complete, and  
 $Inj_{m+1}$  is  $D_2^0$  complete.

## Proof Sketch

- Sketch: First define  $f$  so  $\mathcal{A}_{f(e)}$  has all finite orbits if  $e \in \text{Inf}$  and otherwise has one infinite orbit of type  $\omega$  together with a finite number of finite orbits.

$\phi^s$  with domain  $\{0, 1, \dots, s-1\}$  having some finite orbits of sizes  $k_0, k_1, \dots, k_s$ , where  $s$  is the cardinality of  $W_{e,s}$ .

$\phi^s(x) = x + 1$  except for  $\phi^s(k_0 - 1) = 0$  and, for  $i < s$ ,

$\phi^s(k_0 + k_1 + \dots + k_i - 1) = k_0 + k_1 + \dots + k_{i-1}$ .

If a new element comes into  $W_{e,s+1}$ , let

$\phi^{s+1}(s) = k_0 + k_1 + \dots + k_{s-1}$ , thus closing off the last orbit.

Otherwise  $\phi^{s+1}(s) = s + 1$ .

## Sketch Continued

- If  $W_e$  is finite and no new elements come in after stage  $s$ , then we have  $\phi_{f(e)}(x) = x + 1$  for all  $x > s$ , and thus exactly one infinite orbit, of type  $\omega$ .  
If  $W_e$  is infinite, then all orbits are finite.
- Reduce the  $D_2^0$  complete set  
 $D = \{\langle a, b \rangle : a \in Fin \ \& \ b \in Inf\}$ .  
Let  $f$  and  $g$  be as above so that  $e \in Fin$  if and only if  $\mathcal{A}_{f(e)}$  has exactly one infinite orbit of type  $\omega$  and all other orbits finite, and  $e \in Inf$  if and only if all orbits of  $\mathcal{A}_e$  are finite.
- Let  $\mathcal{A}_{g(e)}$  be two copies of  $\mathcal{A}_{f(e)}$ . Now let  $\mathcal{A}_{h(a,b)}$  consist of a copy of  $\mathcal{A}_{f(a)}$  together with a copy of  $\mathcal{A}_{g(b)}$ .  
It can be checked that  $\mathcal{A}_{h(a,b)}$  has exactly one infinite orbit of type  $\omega$  if and only if  $\langle a, b \rangle \in D$ .

## Orbits of Type $\mathbb{Z}$

- **Theorem**  $Inj^{\leq n}$  is  $\Pi_3^0$  complete,  $Inj^{> n}$  is  $\Sigma_3^0$  complete, and  $Inj^{n+1}$  is  $D_3^0$  complete.
- Sketch: Reduce the  $\Sigma_3^0$  complete set  $Cof = \{e : W_e \text{ is cofinite}\}$  to  $Inj^1$ .  
Start to build  $\omega$  chains going forward from each number  $2m + 1$  by mapping  $x$  to  $2x$ .  
When some  $m$  comes into  $W_{e,s+1}$ , find the longest sequence  $k, k + 1, \dots, m, m + 1, \dots, n$  including  $m$ .  
Put the chains from  $2(m + 1) + 1$  to  $2n + 1$  at the end of the  $2m + 1$  chain and fix them there.  
If  $k < m$ , put the newly expanded  $2m + 1$  chain at the end of the  $2k + 1$  chain.  
Add an element to the beginning of the  $2k + 1$  chain.

## Sketch Continued

- If  $W_e$  is cofinite, there will be a least  $m$  such that every  $n \geq m$  belongs to  $W_e$ .  
In that case, the orbit of  $2m + 1$  will be a  $\mathbb{Z}$  chain, all of the  $2n + 1$  chains for  $n > m$  will be included in this orbit, and there will be finitely many orbits of type  $\omega$  for the numbers  $k < m$ .

# Computable Categoricity

- **Theorem** The property of computable categoricity is  $\Sigma_3^0$  complete (that is,

$CCI = \{e : \mathcal{A}_e \text{ has finitely many infinite orbits}\}$   
is a  $\Sigma_3^0$  complete set).

Sketch: Reduce the  $\Sigma_3^0$  complete set

$Cof = \{e : W_e \text{ is cofinite}\}$ .

Define  $f$  such that for any  $e$ ,  $\mathcal{A}_{f(e)}$  will have finitely many infinite orbits if and only if  $W_e$  is cofinite.

The orbits of  $\mathcal{A}_{f(e)}$  will be exactly the orbits  $\mathcal{O}(2i + 1)$  for  $i \in \omega$  and the even numbers will be used in order to fill out the orbits.



## $\Delta_2^0$ Categoricity

- **Theorem** The property of  $\Delta_2^0$  categoricity is  $\Sigma_4^0$  complete.  
Sketch: Fix a  $\Pi_4^0$  set  $C$  and define a reduction  $f$  such that for any  $e$ ,  $\mathcal{A}_{f(e)}$  has only infinite orbits and has infinitely many orbits of type  $\mathbb{Z}$  if and only if  $e \in C$ .

# $\Sigma_1^0$ Structures

- Injection structures  $(A, f)$  where  $A$  is c.e. and  $f$  is the restriction to  $A$  of a partial computable function.

## Complexity of the Orbits and Character

- Each infinite orbit is a  $\Sigma_1^0$  set
- $\{(k, a) : a \in \text{Rng}(f^k)\}$  is a  $\Sigma_1^0$  set
- $\{(a, k) : \text{card}(\mathcal{O}_f(a)) \geq k\}$  is a  $D_1^0$  set, the intersection of a  $\Pi_1^0$  set with  $A$
- $\{a : \mathcal{O}_f(a) \text{ is infinite}\}$  is a  $\Pi_1^0$  set
- $\{a : \mathcal{O}_f(a) \text{ has type } Z\}$  is a  $\Pi_2^0$  set
- $\{a : \mathcal{O}_f(a) \text{ has type } \omega\}$  is a  $\Sigma_2^0$  set
- $\chi(\mathcal{A})$  is a  $\Sigma_1^0$  set
- It follows that any  $\Sigma_1^0$  injection structure is isomorphic to a computable structure

# Isomorphisms

- **Theorem** For any  $\Sigma_1^0$  injection structure  $\mathcal{A}$ , there exists a computable injection structure  $\mathcal{B}$  and a computable isomorphism from  $\mathcal{B}$  onto  $\mathcal{A}$ .
- **Theorem** If  $\mathcal{A}_1$  and  $\mathcal{A}_2$  are isomorphic  $\Sigma_1^0$  injection structures with finitely many infinite orbits, then there is an isomorphism  $\psi : \mathcal{A}_1 \rightarrow \mathcal{A}_2$  such that both  $\psi$  and  $\psi^{-1}$  are partial computable.
- **Theorem** If  $\mathcal{A}_1$  and  $\mathcal{A}_2$  are isomorphic  $\Sigma_1^0$  injection structures with either finitely many orbits of type  $\mathbb{Z}$  or finitely many orbits of type  $\omega$ , then there is a  $\Delta_2^0$  isomorphism  $\psi : \mathcal{A}_1 \rightarrow \mathcal{A}_2$ .
- **Theorem** If  $\mathcal{A}_1$  and  $\mathcal{A}_2$  are isomorphic  $\Sigma_1^0$  injection structures, then there is a  $\Delta_3^0$  isomorphism  $\psi : \mathcal{A}_1 \rightarrow \mathcal{A}_2$ .

## $Inf(\mathcal{A})$

- **Theorem** For any d.c.e. set  $B$ , there is a  $\Sigma_1^0$  injection structure  $\mathcal{A}$  such that  $B$  is 1 – 1 reducible to  $Inf(\mathcal{A})$  .
- **Proof** Let  $B = C - D$ , where  $C$  and  $D$  are c.e. sets and  $D \subset C$ . Let  $A = \{2n + 1 : n \in C\} \cup \{2n : n \in \mathbb{N}\}$ .
- For each  $n$ , we begin to define the orbit of  $2n + 1$  in  $\mathcal{A}$  by setting  $f(2n + 1) = 2(2n + 1)$ ,  $f(2(2n + 1)) = 4(2n + 1)$  and so on, until we see that  $n \in D$  at some stage  $s + 1$ . Then let  $f(2^s(2n + 1)) = 2n + 1$  and for  $t > s$ , let  $f(2^t(2n + 1)) = 2^{t+1}(2n + 1)$ .  
It follows that for each  $n$ ,  $n \in B$  IFF  $2n \in Inf(\mathcal{A}, f)$ .

## Complexity of $\Pi_1^0$ structures

- Each infinite orbit is a  $\Sigma_1^0$  set
- $\{(k, a) : a \in \text{Rng}(f^k)\}$  is a  $\Sigma_2^0$  set
- $\{(a, k) : \text{card}(\mathcal{O}_f(a)) \geq k\}$  is a  $\Pi_1^0$  set,
- $\{a : \mathcal{O}_f(a) \text{ is finite}\}$  is a  $D_1^0$  set, the intersection of  $A$  with a c.e. set
- $\{a : \mathcal{O}_f(a) \text{ has type } Z\}$  is a  $\Pi_3^0$  set
- $\{a : \mathcal{O}_f(a) \text{ has type } \omega\}$  is a  $\Sigma_3^0$  set
- $\chi(\mathcal{A})$  is a  $\Sigma_2^0$  set

# Existence

- **Proposition** For any  $\Sigma_2^0$  character  $K$ , which is infinite and coinfinite, there is a computable injection structure  $\mathcal{A}$  with  $\chi(\mathcal{A}) = K$  and with any specified countable number of orbits of types  $\omega$  and  $\mathbb{Z}$ .
- **Proposition** For any d.c.e. set  $B$ , there is a  $\Pi_1^0$  injection structure  $\mathcal{A}$  such that  $B$  is 1 – 1 reducible to  $Fin(\mathcal{A})$ .

# Categoricity

- **Theorem** If  $\mathcal{A}$  and  $\mathcal{B}$  are isomorphic  $\Pi_1^0$  injection structures with only finitely many infinite orbits, then  $\mathcal{A}$  and  $\mathcal{B}$  are  $\Delta_2^0$  isomorphic.



## Infinite Orbits: Case One

- **Lemma** For any  $\Pi_1^0$  injection structure  $\mathcal{A}$ , the relation  $R(a, b)$ , defined by  $R(a, b)$  if and only if  $a$  and  $b$  are in the same orbit, is a  $D_2^0$  set.
- **Theorem** If the  $\Pi_1^0$  injection structure  $\mathcal{A} = (A, f)$  has only finitely many orbits of type  $\omega$ , then  $\mathcal{A}$  is  $\Delta_2^0$  isomorphic to a computable structure.
- **Corollary** If  $\mathcal{A}$  and  $\mathcal{B}$  are isomorphic  $\Pi_1^0$  injection structures with only finitely many orbits of type  $\omega$ , then  $\mathcal{A}$  and  $\mathcal{B}$  are  $\Delta_2^0$  isomorphic.

## Infinite Orbits: Case Two

- **Proposition** For any infinite, co-infinite  $\Sigma_2^0$  set  $C$ , there is a  $\Pi_1^0$  injection structure  $\mathcal{A} = (A, f)$  with all orbits of type  $\omega$  such that  $C \leq_T \text{Ran}(f)$ .
- **Theorem** For any  $\Sigma_1^0$  character  $K$ , there is a  $\Pi_1^0$  injection structure  $\mathcal{B}$  with character  $K$ , with infinitely many orbits of type  $\omega$  and with an arbitrary number of orbits of type  $Z$ , such that  $\mathcal{B}$  is not  $\Delta_2^0$  isomorphic to any  $\Sigma_1^0$  injection structure.

## Injection Structures in the Ershov Hierarchy

- $\mathcal{A} = (A, f)$  where  $A$  is an  $n$ -c.e. set and  $f$  is a computable function
- Each infinite orbit is a  $\Sigma_1^0$  set
- $\{(k, a) : a \in \text{Rng}(f^k)\}$  is a  $\Sigma_2^0$  set
- $\{(a, k) : \text{card}(\mathcal{O}_f(a)) \geq k\}$  is an  $n$ -c.e. set,
- $\{a : \mathcal{O}_f(a) \text{ is infinite}\}$  is the intersection of  $A$  with a  $\Pi_1^0$  set, so is  $n$ -c.e. if  $n$  is even and  $N + 1$ -c.e. if  $n$  is odd.
- $\{a : \mathcal{O}_f(a) \text{ has type } Z\}$  is a  $\Pi_3^0$  set
- $\{a : \mathcal{O}_f(a) \text{ has type } \omega\}$  is a  $\Sigma_3^0$  set
- $\chi(\mathcal{A})$  is a  $\Sigma_2^0$  set

## Back to $\Pi_1^0$ structures

- **Lemma** For any  $n \in \mathbb{N}$  and any infinite  $n$ -c.e. set  $B$ , there is a  $\Pi_1^0$  set  $A$  and a total computable 1 – 1 function  $\phi$  mapping  $A$  onto  $B$ .
- **Proposition** For any  $n$ -c.e. injection structure  $\mathcal{A}$ , there exist a  $\Pi_1^0$  structure  $\mathcal{B}$  and a computable injection  $\phi : \mathbb{N} \rightarrow \mathbb{N}$  that maps  $\mathcal{B}$  onto  $\mathcal{A}$ .
- **Corollary** If  $\mathcal{A}$  and  $\mathcal{B}$  are isomorphic  $n$ -c.e. injection structures with only finitely many orbits of type  $\omega$ , then  $\mathcal{A}$  and  $\mathcal{B}$  are  $\Delta_2^0$  isomorphic.

## $\alpha$ -c.e. functions

- Let  $g(x) = \lim_s f(x, s)$ , where  $f$  is a computable function.
  - (i)  $g$  is an  $n$ -c.e. function if for all  $x \in \omega$ ,  
 $\text{card}(\{s : f(x, s) \neq f(x, s + 1)\}) < n$ .
  - (ii)  $g$  is an  $\omega$ -c.e. function if there is a computable function  $g$  such that for all  $x \in \omega$ ,  
 $1 \leq \text{card}(\{s : f(x, s) \neq f(x, s + 1)\}) \leq g(x)$ .
- **Proposition** For any nonempty  $\Sigma_2^0$  set  $A$  there is a 2-c.e. function whose range is  $A$ .
- A function  $f$  is *graph- $\alpha$ -c.e.* if the graph of  $\alpha$  is an  $\alpha$ -c.e. set.
- **Proposition**
  - (a) For every  $n \in \omega$  there exists an  $(n+1)$ -c.e. function that is not graph- $n$ -c.e.
  - (b) There is a graph-2-c.e. function that is not an  $\omega$ -c.e. function.

## 2-c.e. Categoricity: Case One

- Theorem** There exist computable injection structures, each consisting of infinitely many orbits of type  $\omega$ , which is not 2-c.e. isomorphic.
- Sketch:** For a structure  $\mathcal{A} = (\omega, f)$ , define the set  $E^{\mathcal{A}}$  to be those elements of the form  $f^{2n}(a)$  where  $a \notin \text{Ran}(f)$ . In the standard structure,  $E^{\mathcal{A}}$  will be a computable set. We can build a computable copy in which  $E^{\mathcal{A}}$  is  $n$ -c.e. or even  $\omega$ -c.e. complete. Each orbit of  $\mathcal{A}$  contains exactly one even number  $2e$  and this orbit  $\mathcal{O}(2e)$ , will be used to defeat the  $e$ th  $\omega$ -c.e. set  $C_e$ . That is, begin with  $2e \notin \text{Ran}(f)$  and whenever  $e$  goes into or out of  $C_e$ , add an element to the beginning of  $\mathcal{O}(2e)$ , so that  $2e \in E^{\mathcal{A}}$  IFF  $e \notin C_e$ .

## 2-c.e. Categoricity: Case Two

- **Theorem** There exist computable injection structures, each consisting of infinitely many orbits of type  $\mathbb{Z}$ , which is not  $\omega$ -c.e. isomorphic.
- **Sketch:** Here we will diagonalize against the possible 2-c.e. isomorphisms  $h_e$  from the standard structure  $\mathcal{A}$  to our structure  $\mathcal{B}$ , by having a pair of elements  $a_e$  and  $b_e$  in different orbits in  $\mathcal{A}$  but having  $h_e(a_e)$  in the same orbit with  $h_e(b_e)$  in  $\mathcal{B}$ . We build  $\mathcal{B}$  with infinitely many orbits of type  $\mathbb{Z}$  by extending our finite orbits in both directions at each stage and by adding new orbits at each stage. When  $h_e(a_e)$  and  $h_e(b_e)$  are defined (or redefined) and we have them in different orbits, we simply combine those into one orbit.

## 2-c.e. Injections

- $\mathcal{A} = (\omega, f)$  where  $f$  is an  $n$ -c.e. function
- Each infinite orbit is a  $\Delta_2^0$  set
- $\{(k, a) : a \in \text{Rng}(f^k)\}$  is a  $\Sigma_2^0$  set
- $\{(a, k) : \text{card}(\mathcal{O}_f(a)) \geq k\}$  is a  $\Delta_2^0$  set,
- $\{a : \mathcal{O}_f(a) \text{ is finite}\}$  is  $\Sigma_2^0$
- $\{a : \mathcal{O}_f(a) \text{ has type } Z\}$  is a  $\Pi_3^0$  set
- $\{a : \mathcal{O}_f(a) \text{ has type } \omega\}$  is a  $\Sigma_3^0$  set
- $\chi(\mathcal{A})$  is a  $\Sigma_3^0$  set
- So every 2-c.e. injection is isomorphic to a  $\Pi_1^0$  injection



# Existence

- **Theorem** Let  $K$  be a  $\Sigma_2^0$  character.
  - 1 There is a 2-c.e. injection  $f$  such that  $(\omega, f)$  has character  $K$  and has infinite orbits.
  - 2 If  $K$  possesses an  $s_1$  function, then there is a 2-c.e. injection  $f$  such that  $(\omega, f)$  has character  $K$  and has no infinite orbits.
- **Sketch:** Let  $\mathcal{B} = (\omega, E)$  be a computable equivalence structure with character  $K$  (in the second case,  $\mathcal{B}$  has infinitely many infinite orbits)  
Build  $f$  so that each equivalence class becomes an orbit
- **Question** Is the  $s_1$  function necessary?

## Future Work

- Structures  $(A, f)$  where  $f$  is finite-to-one.
- In particular 2 to 1 or  $\leq 2$  to 1.
- These are much more complicated.

• THANK YOU