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*Forbidding Sets and Normal Forms for Language  
Forbidding-Enforcing Systems*

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## Main topics

- Forbidding-enforcing systems (fe-systems)
- Fe-systems defining families of languages
- Fe-systems defining a single language
- Normal forms for single language fe-systems

## Motivation for fe-systems

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- Introduced by A. Ehrenfeucht and G. Rozenberg
- Forbidding conditions model the fact that the presence of certain groups of molecules in a molecular system causes the system to “die”.
- Enforcing conditions model the fact that presence of certain molecules in the system triggers the presence of some other molecules in the system.

## Forbidding sets, f-families

A *forbidding set*  $\mathcal{F}$  over an alphabet  $A$  is a possibly infinite family of finite nonempty subsets of  $A^+$ ; each element  $F \in \mathcal{F}$  is called a *forbidder*.

A language  $L$  is said to be *consistent with a forbidder*  $F$ , denoted by  $L \underline{\text{con}} F$ , if and only if  $F \not\subseteq \underline{\text{sub}}(L)$ .

A language  $L$  is *consistent with a forbidding set*  $\mathcal{F}$  ( $L \underline{\text{con}} \mathcal{F}$ ), if and only if  $L \underline{\text{con}} F$  for all  $F \in \mathcal{F}$ . Denote  $\mathcal{L}(\mathcal{F}) = \{L \mid L \underline{\text{con}} \mathcal{F}\}$ .

Let  $A = \{a, b\}$ . Consider the forbidding set  $\mathcal{F} = \{\{aa, bb\}, \{ab, ba\}\}$ .

There are four maximal languages in  $\mathcal{L}(\mathcal{F})$ :

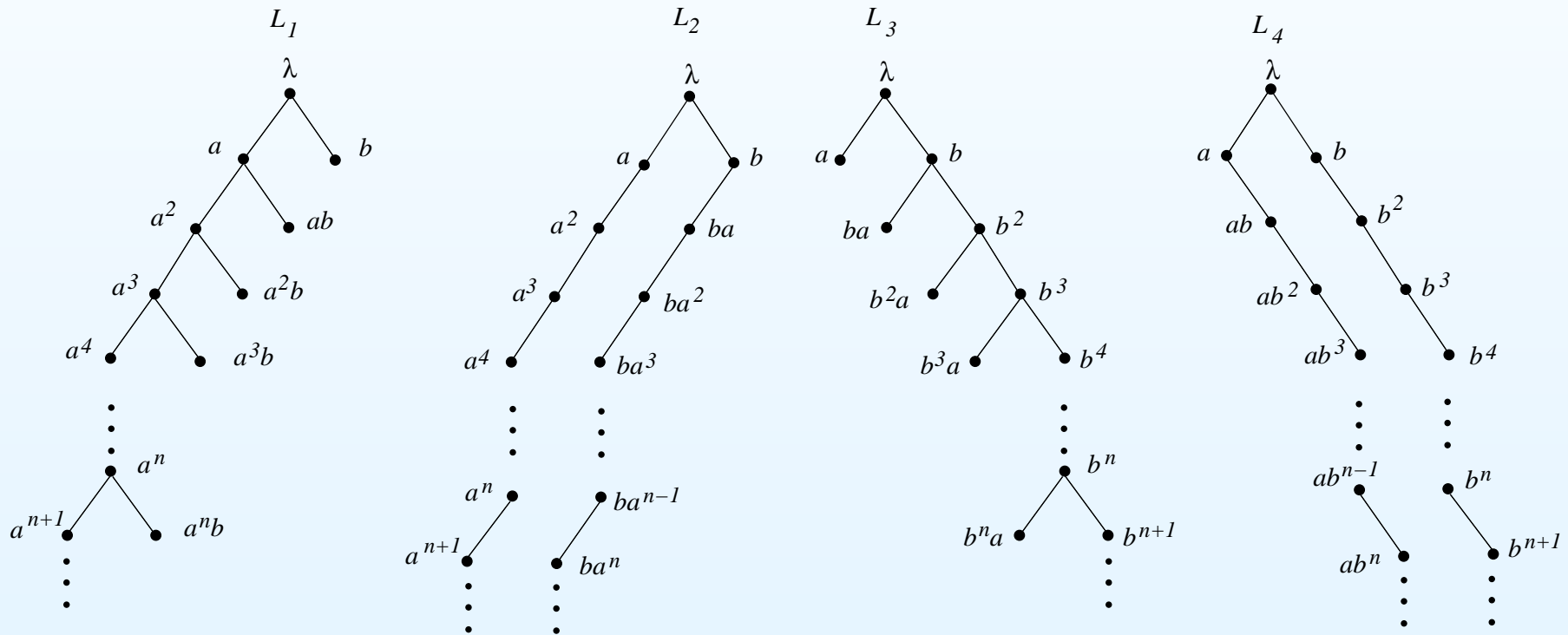
$L_1 = a^*b \cup a^*$ ,  $L_2 = ba^* \cup a^*$ ,  $L_3 = b^*a \cup b^*$ , and  $L_4 = ab^* \cup b^*$ .

$\mathcal{L}(\mathcal{F}) = \{L \mid L \subseteq L_i \text{ for } i = 1, \dots, 4\}$

# Maximal languages

The maximal languages for  $\mathcal{F} = \{\{aa, bb\}, \{ab, ba\}\}$ .

$L_1 = a^*b \cup a^*$ ,  $L_2 = ba^* \cup a^*$ ,  $L_3 = b^*a \cup b^*$ , and  $L_4 = ab^* \cup b^*$ .



## Forbidding systems, f-languages

Given a forbidding set  $\mathcal{F}$  over  $A$ .

A word  $w$  is *consistent with a forbidding set*  $\mathcal{F}$ , denoted by  $w \underline{\text{con}} \mathcal{F}$ , if and only if  $F \not\subseteq \underline{\text{sub}}(w)$ .

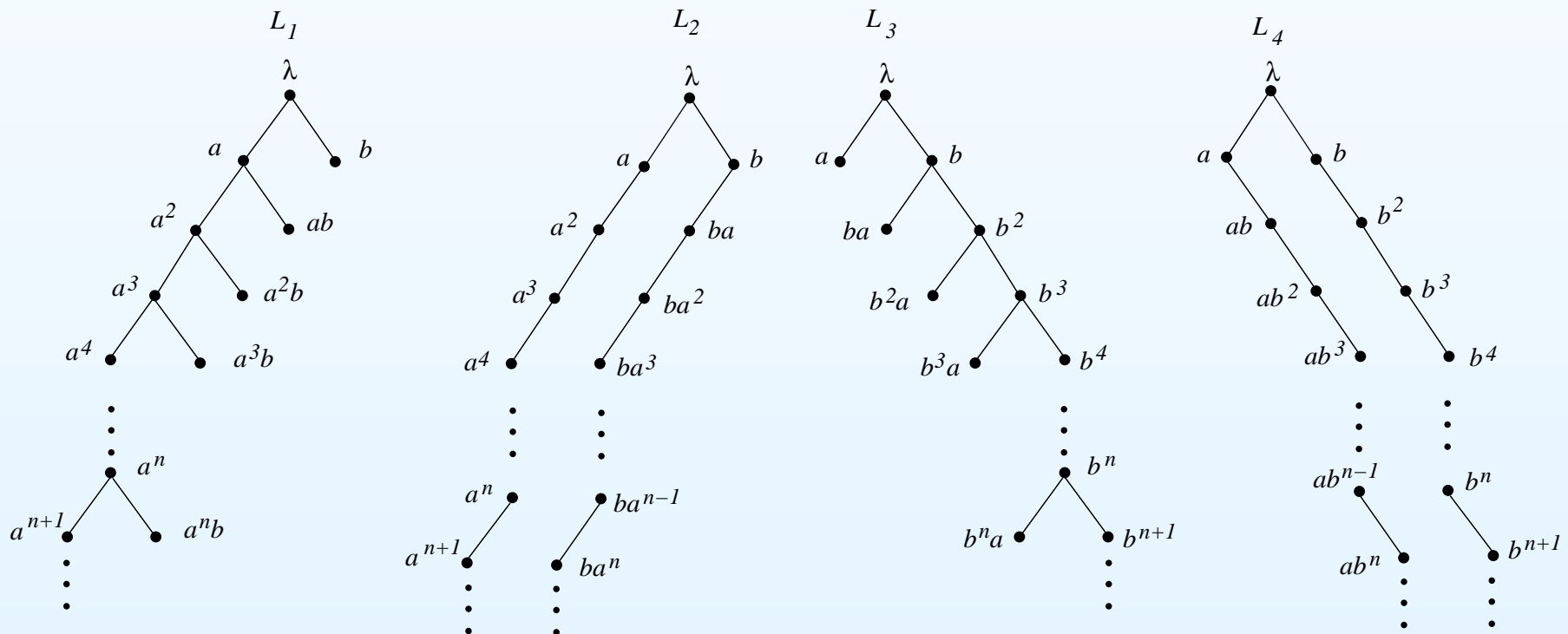
A word  $w$  is *consistent with a forbidding set*  $\mathcal{F}$  denoted by  $w \underline{\text{con}} \mathcal{F}$ , if and only if  $w \underline{\text{con}} F$  for all  $F \in \mathcal{F}$ . If  $w$  is not consistent with  $\mathcal{F}$ , the notation is  $w \underline{\text{ncon}} \mathcal{F}$ .

Denote  $L(\mathcal{F}) = \{w \mid w \underline{\text{con}} \mathcal{F}\}$ .

Let  $A = \{a, b\}$  and  $\mathcal{F} = \{\{aa, bb\}, \{ab, ba\}\}$ . Then  $L(\mathcal{F}) = \{a^n, b^n, ab^n, a^n b, ba^n, b^n a \mid n \geq 0\}$ .

# Relationship between f-families and f-languages

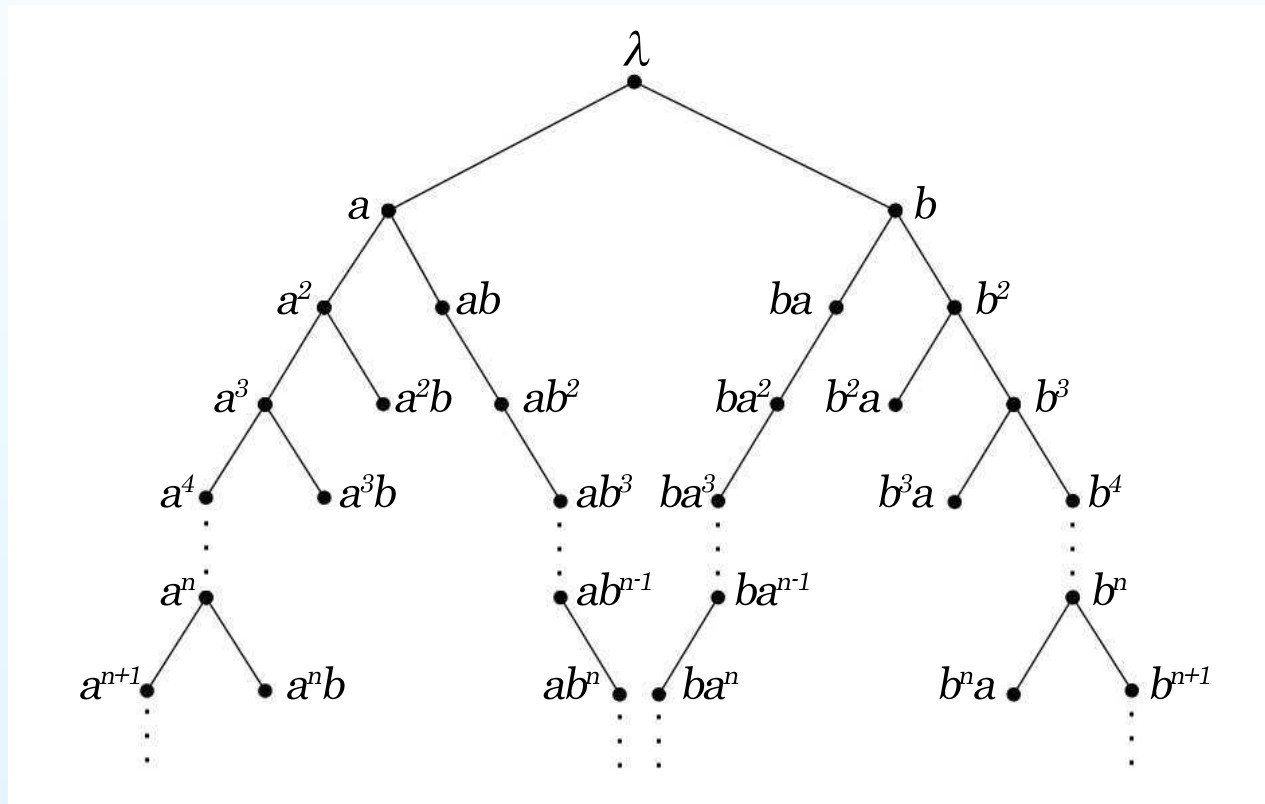
For the forbidding set  $\mathcal{F} = \{\{aa, bb\}, \{ab, ba\}\}$  over  $A = \{a, b\}$ ,  
 $\mathcal{L}(\mathcal{F}) = \{L \mid L \subseteq L_i \text{ for } i = 1, \dots, 4\}$ , where  
 $L_1 = a^*b \cup a^*$ ,  $L_2 = ba^* \cup a^*$ ,  $L_3 = b^*a \cup b^*$ , and  $L_4 = ab^* \cup b^*$ .



# Relationship between f-families and f-languages

Let  $\mathcal{F} = \{\{aa, bb\}, \{ab, ba\}\}$ . Then

$$L(\mathcal{F}) = \{a^n, b^n, ab^n, a^n b, ba^n, b^n a \mid n \geq 0\}.$$





## Relationship between f-families and f-languages

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**Theorem 1** *Let  $\mathcal{F}$  be a forbidding set. Then,  $L(\mathcal{F}) = \cup_{L \in \mathcal{L}(\mathcal{F})} L$ .*

## Subword free and subword incomparable, f-families

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A forbidding set  $\mathcal{F}$  is called *subword free* if all of its forbidders are subword free and *subword incomparable* if for any two forbidders  $F_1, F_2 \in \mathcal{F}$  with  $F_1 \neq F_2$ , it holds that  $\underline{\text{sub}}(F_1) \not\subseteq \underline{\text{sub}}(F_2)$  and  $\underline{\text{sub}}(F_2) \not\subseteq \underline{\text{sub}}(F_1)$ .

A. Ehrenfeucht, H. J. Hoogeboom, G. Rozenberg, N. van Vugt proved that for f-families the subword free and subword incomparable normal form is minimal and unique.

Given  $\mathcal{F}$  there exists a unique  $\mathcal{F}'$  in minimal normal form, such that  $\mathcal{L}(\mathcal{F}) = \mathcal{L}(\mathcal{F}')$ .

## Subword free and subword incomparable, f-languages

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**Theorem 2** *Every normal form for forbidding sets for fe-families is also a normal form for forbidding sets for fe-languages.*

**Corollary 3** *For every language forbidding set there is an equivalent subword free and subword incomparable forbidding set.*

## Subword free and subword incomparable example

Let  $A = \{a, b\}$  and  $\mathcal{F} = \{\{aabb\}, \{bbaa\}, \{bbabaa\}, \{aa, bb, abab\}\}$ .

For f-families, this  $\mathcal{F}$  is minimal:

- Remove a forbidding, e.g.  $\{aabb\}$  and consider  $\mathcal{F}' = \{\{bbaa\}, \{bbabaa\}, \{aa, bb, abab\}\}$ . Then, for  $L = \{aabb\}$ ,  $L \notin \mathcal{L}(\mathcal{F})$  and  $L \in \mathcal{L}(\mathcal{F}')$ . Hence,  $\mathcal{L}(\mathcal{F}) \neq \mathcal{L}(\mathcal{F}')$ .
- Remove a word from a forbidding, e.g.  $abab$  and consider  $\mathcal{F}'' = \{\{aabb\}, \{bbaa\}, \{bbabaa\}, \{aa, bb\}\}$ . Then, for  $L = \{aa, bb\}$ ,  $L \in \mathcal{F}$  and  $L \notin \mathcal{L}(\mathcal{F}'')$ . Hence,  $\mathcal{L}(\mathcal{F}) \neq \mathcal{L}(\mathcal{F}'')$ .

## Subword free and subword incomparable example

Let  $A = \{a, b\}$  and  $\mathcal{F} = \{\{aabb\}, \{bbaa\}, \{bbabaa\}, \{aa, bb, abab\}\}$ .

For f-languages, this  $\mathcal{F}$  is not minimal.

Remove  $abab$  and consider

$\mathcal{F}' = \{\{aabb\}, \{bbaa\}, \{bbabaa\}, \{aa, bb\}\}$ .

For every  $w$  such that  $abab \notin \underline{sub}(w)$  and  $\{aa, bb\} \subseteq \underline{sub}(w)$ , either  $aabb \in \underline{sub}(w)$  or  $bbaa \in \underline{sub}(w)$  or  $bbabaa \in \underline{sub}(w)$ .

Thus,  $L(\mathcal{F}) = L(\mathcal{F}')$ .

Since  $\mathcal{F}'$  is not subword incomparable, it can be reduced to

$\mathcal{F}'' = \{\{aa, bb\}\}$ .

## Connecting words

Given  $F$ ,  $x$  is a *connecting word* of  $F$  if and only if  $F \subseteq \underline{sub}(x)$ .

$$C(F) = \{x \mid F \subseteq \underline{sub}(x)\}$$

$C_{min}(F)$  is the set of minimal connecting words.

Remark: Given  $F$  and  $w \in A^*$ , either  $w \underline{con} F$  or  $w \in C(F)$ .

Example: For  $A = \{a, b\}$  and  $F = \{aa, bb\}$ ,

$$aabbabb \in C(F), aabbabb \notin C_{min}(F)$$

$$aababb \in C_{min}(F)$$

$$C_{min}(F) = \{aa(ba)^i bb, bb(ab)^i aa \mid i \geq 0\}.$$

## Connecting free

$\mathcal{F}$  is *connecting free* if for every  $F \in \mathcal{F}$  with  $|F| \geq 2$  and every  $x \in F$  there exists  $w \in C(F \setminus \{x\})$  with  $x \notin \underline{\text{sub}}(w)$  such that  $w \underline{\text{con}} G$  for every  $G \in \mathcal{F}$ ,  $G \neq F$ .

$\mathcal{F} = \{\{aabb\}, \{bbaa\}, \{bbabaa\}, \{aa, bb, abab\}\}$  is not connecting free.

**Proposition 4** *Every connecting free forbidding set is subword free.*

**Lemma 5** *Let  $\mathcal{F}$  be subword incomparable and connecting free. Then, for every  $F \in \mathcal{F}$  and every  $x \in F$ ,  $L(\mathcal{F}') \subset L(\mathcal{F})$ , where  $\mathcal{F}' = (\mathcal{F} \setminus \{F\}) \cup \{F'\}$  such that  $F' = F \setminus \{x\}$ .*

## Connecting reduced

$\mathcal{F}$  is *connecting reduced* if for every  $F \in \mathcal{F}$  there exists  $w \in C(F)$ , such that  $w \notin C(G)$  for every  $G \in \mathcal{F}$ ,  $G \neq F$ .

Let  $A = \{a, b\}$ ,  $\mathcal{F} = \{\{ab\}, \{ba\}, \{aa, bb\}\}$ , and  $F = \{aa, bb\}$ . This  $\mathcal{F}$  is not connecting reduced.

$$C_{min}(F) = \{aa(ba)^i bb, bb(ab)^i aa \mid i \geq 0\}$$

**Proposition 6** *Every connecting reduced forbidding set is subword incomparable.*

**Lemma 7** *Let  $\mathcal{F}$  be a connecting reduced forbidding set. Then,  $L(\mathcal{F}) \subset L(\mathcal{F} \setminus \{F\})$  for any  $F \in \mathcal{F}$ .*



## Reduced

$\mathcal{F}$  is *reduced* if it is both connecting free and connecting reduced.

**Theorem 8** *Every reduced forbidding set is minimal.*

$\mathcal{F}_1 = \{\{ab\}, \{ba\}\}$  is reduced.

$\mathcal{F}_2 = \{\{ab, ba\}, \{aa, bb\}\}$  is also reduced.

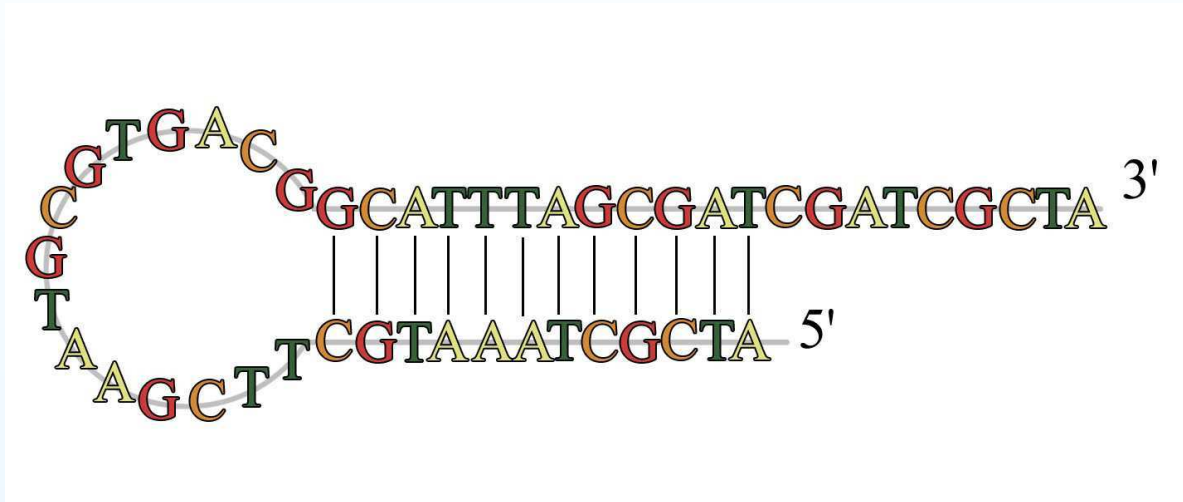
## Strict forbidding sets

**Theorem 9** *For every forbidding set there exists an equivalent strict forbidding set.*

Construction: Given  $\mathcal{F}$ , for every  $F \in \mathcal{F}$  construct  $\mathcal{F}_F = \{\{s\} \mid s \in C_{min}(F)\}$  and consider  $\mathcal{F}' = \cup_{F \in \mathcal{F}} \mathcal{F}_F$ .  
Then,  $L(\mathcal{F}') = L(\mathcal{F})$ .

**Theorem 10** *For every forbidding set there exists an equivalent unique minimal strict forbidding set.*

## Defining DNA code words



Given alphabet  $\Sigma$ , let  $\theta : \Sigma^* \rightarrow \Sigma^*$  be a morphic or antimorphic involution.

The set  $X$  is called  $\theta$ -subword- $k$ -code if for all  $u \in \Sigma^*$  such that  $|u| = k$  we have  $\Sigma^* u \Sigma^i \theta(u) \Sigma^* \cap X = \emptyset$  for all  $i \geq 1$ .

Let  $k \geq 1$  be an integer. Consider  $\mathcal{F} = \{\{u, \theta(u)\} \mid u \in \Sigma^k\}$ . Then for every  $X \subseteq (L(\mathcal{F}) \setminus \{\lambda\})$ ,  $X$  is a  $\theta$ -subword- $k$ -code.

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## Acknowledgement

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Thank you!