

# Conservative groupoids recognize only regular languages

Martin Beaudry<sup>1</sup>   Danny Dubé<sup>2</sup>   Maxime Dubé<sup>2</sup>  
Mario Latendresse   Pascal Tesson<sup>2</sup>

<sup>1</sup>University of Sherbrooke

<sup>2</sup>Laval University (Quebec City)

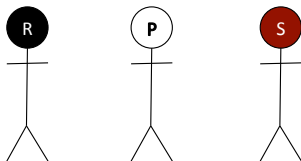
# A guide for dishonest organizers of Rock-Paper-Scissors tournaments

Martin Beaudry<sup>1</sup>   Danny Dubé<sup>2</sup>   Maxime Dubé<sup>2</sup>  
Mario Latendresse   Pascal Tesson<sup>2</sup>

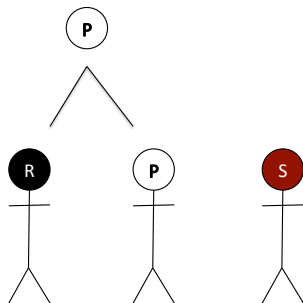
<sup>1</sup>University of Sherbrooke

<sup>2</sup>Laval University (Quebec City)

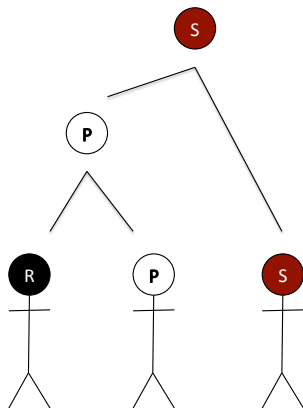
# How to rig Rock-Paper-Scissors tournaments



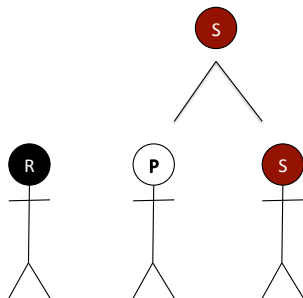
# How to rig Rock-Paper-Scissors tournaments



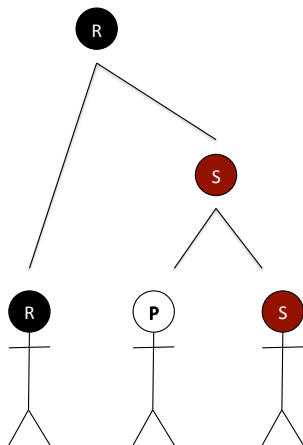
# How to rig Rock-Paper-Scissors tournaments



# How to rig Rock-Paper-Scissors tournaments



# How to rig Rock-Paper-Scissors tournaments

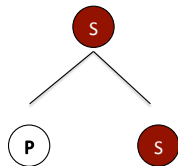
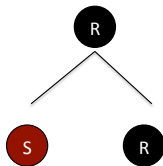
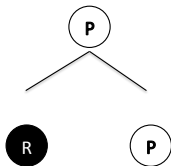


# How to rig Rock-Paper-Scissors tournaments

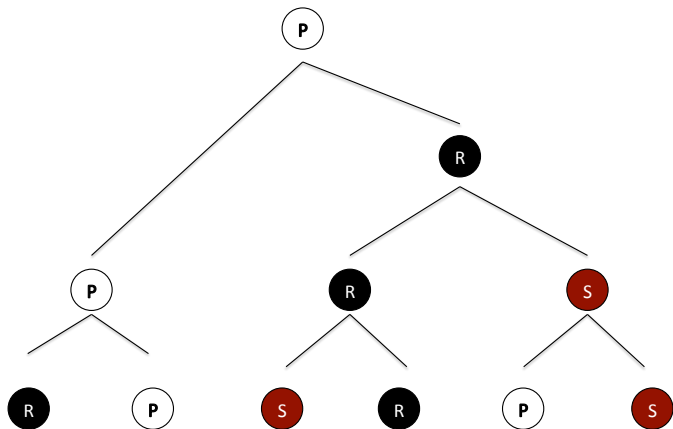




# How to rig Rock-Paper-Scissors tournaments



# How to rig Rock-Paper-Scissors tournaments



# Examples

In each of the following strings, is it possible to organize the tournament such that Paper is the winner?

- 1 P R R P R R P P R
- 2 R S R S S R P S
- 3 R S R R P R S P S R P P S P S

## Examples

In each of the following strings, is it possible to organize the tournament such that Paper is the winner?

- 1 P R R P R R P P R
- 2 R S R S S R P S
- 3 R S R R P R S P S R P P S P S

Let  $\Lambda(P) \subseteq \{R, P, S\}^*$  be the set of strings which can be evaluated to P given the right evaluation tree. How can one characterize  $\Lambda(P)$ ?

# Monoids and groupoids

## Definition

A groupoid is a set with a binary operation.

## Definition

A monoid is a set with a binary associative operation and an identity element for that operation.

# Monoids and groupoids

## Definition

A groupoid is a set with a binary operation.

## Definition

A monoid is a set with a binary associative operation and an identity element for that operation.

## Example

Let  $H = \{R, P, S\}$ . The Rock-Paper-Scissor game defines a groupoid on this set with multiplication given by

$$PP = RP = PR = P$$

$$RR = RS = SR = R$$

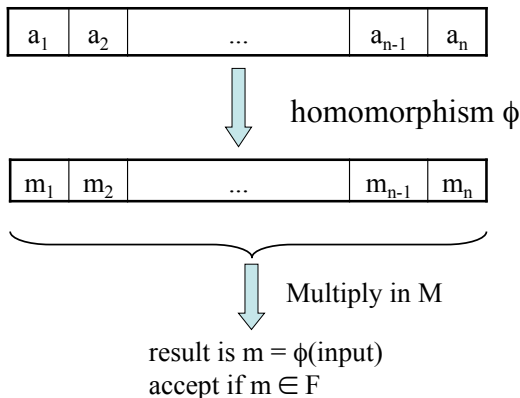
$$SS = PS = SP = S$$

# Finite monoid $\approx$ finite automaton

## Definition

$L \subseteq \Sigma^*$  is recognized by a finite monoid  $M$  if there exists  $\phi : \Sigma \rightarrow M$  (extends to a homomorphism from  $\Sigma^*$  to  $M^*$ ) s.t.

$x \in L \Leftrightarrow$  product  $\phi(x)$  lies in some accepting subset  $F$ .



# Finite monoid $\approx$ finite automaton

## Definition

$L \subseteq \Sigma^*$  is recognized by a finite monoid  $M$  if there exists  $\phi : \Sigma \rightarrow M$  (extends to a homomorphism from  $\Sigma^*$  to  $M^*$ ) s.t.

$x \in L \Leftrightarrow$  product  $\phi(x)$  lies in some accepting subset  $F$ .

## Theorem (Kleene, algebraic formulation)

*$L$  is recognizable by a finite monoid iff  $L$  is regular.*



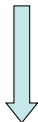
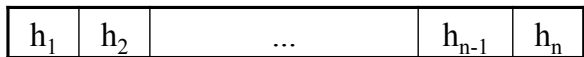
# Recognition by groupoids

## Definition

$L \subseteq \Sigma^*$  is recognized by a finite groupoid  $H$  if there exists  $\phi : \Sigma \rightarrow H$  s.t.  
 $x \in L \Leftrightarrow \phi(x)$  can be evaluated to some element in  $F$



$\phi : \Sigma \rightarrow H$



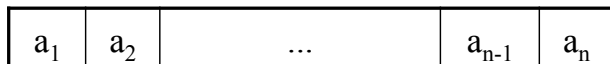
Consider all possible  
evaluations in  $H$

accept if some evaluation is  $h \in F$

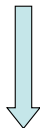
# Recognition by groupoids

## Definition

$L \subseteq \Sigma^*$  is recognized by a finite groupoid  $H$  if there exists  $\phi : \Sigma \rightarrow H^*$  s.t.  
 $x \in L \Leftrightarrow \phi(x)$  can be evaluated to some element in  $F$



$\phi : \Sigma \rightarrow H^*$



Consider all possible  
evaluations in  $H$

accept if some evaluation is  $h \in F$

# Recognition by groupoids

## Definition

$L \subseteq \Sigma^*$  is recognized by a finite groupoid  $H$  if there exists  $\phi : \Sigma \rightarrow H$  s.t.  
 $x \in L \Leftrightarrow \phi(x)$  can be evaluated to some element in  $F$

## Theorem

*$L$  is recognizable by a finite groupoid iff  $L$  is context-free.*

## Groupoids without context-free capabilities

Theorem (Caussinus, Lemieux (94) - Beaudry, Lemieux, Thérien (97))

- *If  $H$  is a loop, i.e. a groupoid with an identity element and left/right inverses then  $H$  can only recognize regular languages.*
- *$L$  is recognizable by a loop iff  $L$  is a regular open language.*

## Groupoids without context-free capabilities

### Theorem (Caussinus, Lemieux (94) - Beaudry, Lemieux, Thérien (97))

- *If  $H$  is a loop, i.e. a groupoid with an identity element and left/right inverses then  $H$  can only recognize regular languages.*
- *$L$  is recognizable by a loop iff  $L$  is a regular open language.*

### Theorem (Beaudry, Lemieux, Thérien (05))

*If the multiplication monoid of  $H$  satisfies the identity*

$$(xy)^\omega (yx)^\omega (xy)^\omega = (xy)^\omega$$

*for some  $\omega$  then  $H$  can only recognize regular languages.*

# Main result

## Definition

A groupoid  $H$  is *conservative* if  $xy \in \{x, y\}$  for any  $x, y \in H$ .

## Example

- $\{r, p, s\}$  is a conservative groupoid.
- Any variant of the game with more objects (e.g. Spock, Lizard) defines a conservative (and commutative) groupoid.

# Main result

## Definition

A groupoid  $H$  is *conservative* if  $xy \in \{x, y\}$  for any  $x, y \in H$ .

## Example

- $\{r, p, s\}$  is a conservative groupoid.
- Any variant of the game with more objects (e.g. Spock, Lizard) defines a conservative (and commutative) groupoid.

## Theorem

*Any language recognized by a conservative groupoid  $H$  is regular.*

# Basic definitions and notation

## Definition

Let  $H$  be a groupoid. Let  $a \in H$ . Let  $\sigma \subseteq H$ . Let  $x \in H^*$ .

- $W(x) = \{a \in H : a \text{ wins on } x \text{ given the right evaluation tree}\}.$
- $\Lambda(a) = \{x : a \in W(x)\}.$
- $\Lambda(\sigma) = \{x : W(x) \cap \sigma \neq \emptyset\} = \bigcup_{a \in \sigma} \Lambda(a)$
- $\Lambda^\epsilon(\sigma) = \Lambda(\sigma) \cup \{\epsilon\}$

## Definition

Let  $H$  be a (commutative) conservative groupoid. An element  $b$  is *favorable* to an element  $a$  if  $ba = ab = a$ . We define  $f(a) = \{b : b \text{ is favorable to } a\}$



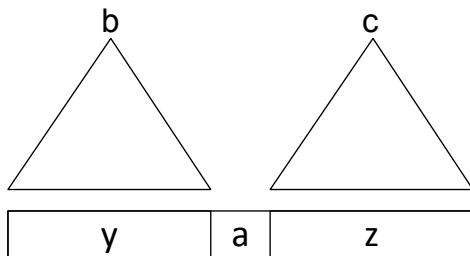
# Characterizing words on which $a$ can win

## Lemma

For any  $a \in H$  and any  $x \in H^*$  we have  $a \in W(x)$  iff  $x = yaz$  such that

- there exists  $b \in W(y)$  with  $b \in f(a)$  (or  $y = \epsilon$ )
- there exists  $c \in W(z)$  with  $c \in f(a)$  (or  $z = \epsilon$ )

Equivalently  $\Lambda(a) = \Lambda^\epsilon(f(a)) \cdot \{a\} \cdot \Lambda^\epsilon(f(a))$



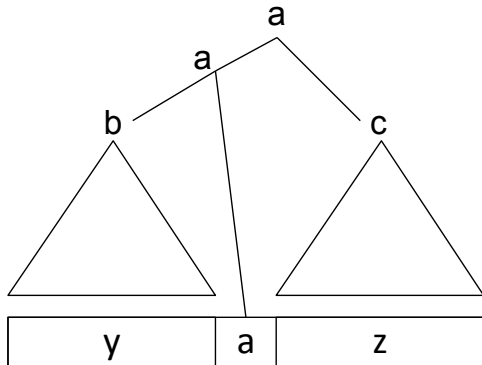
# Characterizing words on which $a$ can win

## Lemma

For any  $a \in H$  and any  $x \in H^*$  we have  $a \in W(x)$  iff  $x = yaz$  such that

- there exists  $b \in W(y)$  with  $b \in f(a)$  (or  $y = \epsilon$ )
- there exists  $c \in W(z)$  with  $c \in f(a)$  (or  $z = \epsilon$ )

Equivalently  $\Lambda(a) = \Lambda^\epsilon(f(a)) \cdot \{a\} \cdot \Lambda^\epsilon(f(a))$



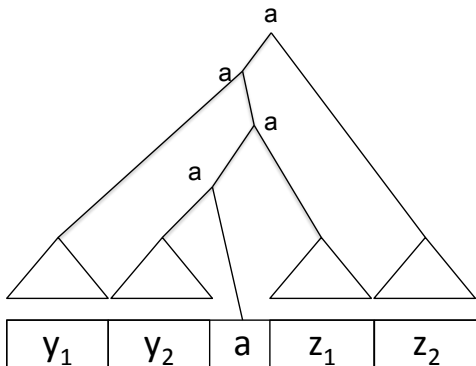
# Characterizing words on which $a$ can win

## Lemma

For any  $a \in H$  and any  $x \in H^*$  we have  $a \in W(x)$  iff  $x = yaz$  such that

- there exists  $b \in W(y)$  with  $b \in f(a)$  (or  $y = \epsilon$ )
- there exists  $c \in W(z)$  with  $c \in f(a)$  (or  $z = \epsilon$ )

Equivalently  $\Lambda(a) = \Lambda^\epsilon(f(a)) \cdot \{a\} \cdot \Lambda^\epsilon(f(a))$



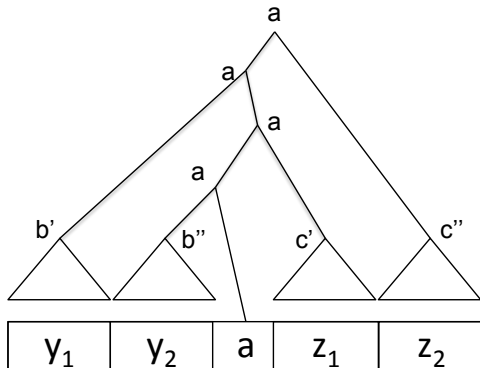
# Characterizing words on which $a$ can win

## Lemma

For any  $a \in H$  and any  $x \in H^*$  we have  $a \in W(x)$  iff  $x = yaz$  such that

- there exists  $b \in W(y)$  with  $b \in f(a)$  (or  $y = \epsilon$ )
- there exists  $c \in W(z)$  with  $c \in f(a)$  (or  $z = \epsilon$ )

Equivalently  $\Lambda(a) = \Lambda^\epsilon(f(a)) \cdot \{a\} \cdot \Lambda^\epsilon(f(a))$



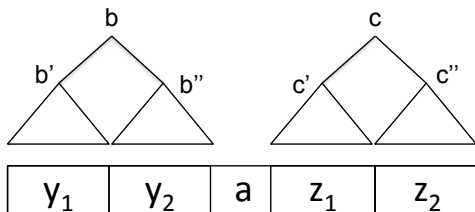
# Characterizing words on which $a$ can win

## Lemma

For any  $a \in H$  and any  $x \in H^*$  we have  $a \in W(x)$  iff  $x = yaz$  such that

- there exists  $b \in W(y)$  with  $b \in f(a)$  (or  $y = \epsilon$ )
- there exists  $c \in W(z)$  with  $c \in f(a)$  (or  $z = \epsilon$ )

Equivalently  $\Lambda(a) = \Lambda^\epsilon(f(a)) \cdot \{a\} \cdot \Lambda^\epsilon(f(a))$



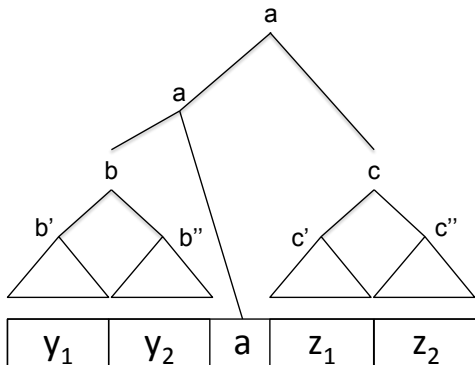
# Characterizing words on which $a$ can win

## Lemma

For any  $a \in H$  and any  $x \in H^*$  we have  $a \in W(x)$  iff  $x = yaz$  such that

- there exists  $b \in W(y)$  with  $b \in f(a)$  (or  $y = \epsilon$ )
- there exists  $c \in W(z)$  with  $c \in f(a)$  (or  $z = \epsilon$ )

Equivalently  $\Lambda(a) = \Lambda^\epsilon(f(a)) \cdot \{a\} \cdot \Lambda^\epsilon(f(a))$



# Characterizing words on which $a$ can win

## Lemma

For any  $a \in H$  and any  $x \in H^*$  we have  $a \in W(x)$  iff  $x = yaz$  such that

- there exists  $b \in W(y)$  with  $b \in f(a)$  (or  $y = \epsilon$ )
- there exists  $c \in W(z)$  with  $c \in f(a)$  (or  $z = \epsilon$ )

Equivalently  $\Lambda(a) = \Lambda^\epsilon(f(a)) \cdot \{a\} \cdot \Lambda^\epsilon(f(a))$

## Example

For Rock-Paper-Scissors  $\Lambda(p) = \Lambda^\epsilon(\{r, p\}) \cdot \{p\} \cdot \Lambda^\epsilon(\{r, p\})$ .

# Characterizing words on which one of $\sigma$ can win

## Lemma

For any  $\sigma \subseteq H$

$$\Lambda(\sigma) = \bigcup_{a \in \sigma} \Lambda^\epsilon(f(a) \cup \sigma) \cdot \{a\} \cdot \Lambda^\epsilon(f(a) \cup \sigma).$$

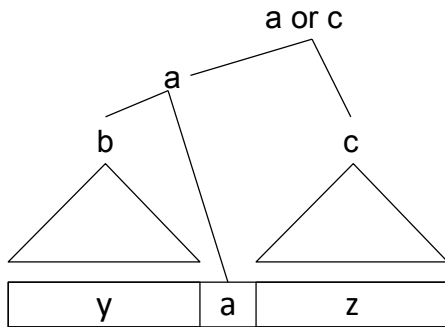


# Characterizing words on which one of $\sigma$ can win

## Lemma

For any  $\sigma \subseteq H$

$$\Lambda(\sigma) = \bigcup_{a \in \sigma} \Lambda^\epsilon(f(a) \cup \sigma) \cdot \{a\} \cdot \Lambda^\epsilon(f(a) \cup \sigma).$$



$b \in f(a)$   
 $c \in \sigma$

# Characterizing words on which one of $\sigma$ can win

## Lemma

For any  $\sigma \subseteq H$

$$\Lambda(\sigma) = \bigcup_{a \in \sigma} \Lambda^\epsilon(f(a) \cup \sigma) \cdot \{a\} \cdot \Lambda^\epsilon(f(a) \cup \sigma).$$

## Example

For Rock-Paper-Scissors

$$\Lambda(\{p, r\}) = \Lambda^\epsilon(\{r, p\}) \cdot \{p\} \cdot \Lambda^\epsilon(\{r, p\}) \cup \Lambda^\epsilon(\{r, p, s\}) \cdot \{r\} \cdot \Lambda^\epsilon(\{r, p, s\})$$

## A context-free grammar for $\Lambda(a)$

Consider the following context free grammar. Non terminals are  $B_\sigma$  for each  $\emptyset \neq \sigma \subseteq H$ .

$$B_\sigma \rightarrow \epsilon \quad \text{for all } \sigma$$

$$B_\sigma \rightarrow B_{\sigma'} a B_{\sigma'} \quad \text{for all } a \in \sigma \text{ and } \sigma' = \sigma \cup f(a)$$

### Lemma

$L(B_\sigma) = \Lambda^\epsilon(\sigma)$  for all  $\sigma$ .

## A context-free grammar for $\Lambda(a)$

Consider the following context free grammar. Non terminals are  $B_\sigma$  for each  $\emptyset \neq \sigma \subseteq H$ .

$$B_\sigma \rightarrow \epsilon \quad \text{for all } \sigma$$

$$B_\sigma \rightarrow B_{\sigma'} a B_{\sigma'} \quad \text{for all } a \in \sigma \text{ and } \sigma' = \sigma \cup f(a)$$

### Lemma

$L(B_\sigma) = \Lambda^\epsilon(\sigma)$  for all  $\sigma$ .

### Example

$$B_p \rightarrow B_{\{p,r\}} p B_{\{p,r\}}$$

$$B_{\{p,r\}} \rightarrow B_{\{p,r\}} p B_{\{p,r\}}$$

$$B_{\{p,r\}} \rightarrow B_{\{r,p,s\}} r B_{\{r,p,s\}}$$

## From the grammar to regular expressions

- Construct regular expressions for each  $L(B_\sigma)$  starting with  $\sigma = H$  then each  $\sigma$  of size  $|H| - 1$  then  $|H| - 2$  and so on.
- Each production rule from  $B_\sigma$  is either self-recursive or appeals to a  $B_{\sigma'}$  with  $|\sigma'| > |\sigma|$ .
- Suppose we have constructed regular expressions  $r_\mu$  for each  $|\mu| > |\sigma|$ . Assume  $\sigma = \{a_1, a_2, b_1, b_2\}$  and the productions from  $B_\sigma$  are

$$B_\sigma \rightarrow B_\sigma a_1 B_\sigma \mid B_\sigma a_2 B_\sigma \mid B_\gamma b_1 B_\gamma \mid B_\eta b_2 B_\eta.$$

Then  $r_\sigma = (a_1 \mid a_2 \mid r_\gamma b_1 r_\gamma \mid r_\eta b_2 r_\eta)^*$ .

# How to cheat in favor of paper

$$B_p \rightarrow B_{\{p,r\}} p B_{\{p,r\}}$$

$$B_{\{p,r\}} \rightarrow B_{\{p,r\}} p B_{\{p,r\}}$$

$$B_{\{p,r\}} \rightarrow B_{\{r,p,s\}} r B_{\{r,p,s\}}$$

- $r_{\{r,p,s\}} = (r|p|s)^*$
- $r_{\{r,p\}} = ((r|p|s)^* r (r|p|s)^* | p)^*$
- $r_p = ((r|p|s)^* r (r|p|s)^* | p)^* p ((r|p|s)^* r (r|p|s)^* | p)^*$

# Main result

## Theorem

*For any conservative groupoid  $H$  and any  $h \in H$  the language  $\Lambda(h)$  is regular.*

# Main result

## Theorem

*For any conservative groupoid  $H$  and any  $h \in H$  the language  $\Lambda(h)$  is regular.*

## Theorem

*Any language  $L \subseteq A^*$  recognized by a conservative groupoid lies in  $\Sigma_2[<]$ , i.e. it is a finite union of languages of the form*

$$A_0^* a_1 A_1^* \dots A_{k-1}^* a_k A_k^*$$

*with  $A_i \subseteq A$  and  $a_i \in A$ .*



# Languages recognizable

## Theorem

*Suppose  $L \subseteq A^*$  is recognizable by a conservative groupoid. Then*

- $L \in \Sigma_2[<]$
- $L = L^+$
- *For all  $s, x, t \in A^*$  it holds that  $sxt \in L \Rightarrow sx^2t \in L$ .*

## Theorem

*If  $L \subseteq A^*$  lies in  $\Sigma_1[<]$  i.e. if it is a union of languages of the form*

$$A^* a_1 A^* \dots A^* a_k A^*$$

*with  $a_i \in A$  then  $L$  is recognizable by a conservative groupoid.*

# Open puzzles

## Puzzle

*Say that a conservative groupoid  $H$  can count up to  $t$  if there exists a word  $u \in H^*$  s.t.  $W(u^{t-1}) \neq W(u^t)$ . For instance  $\{r, p, s\}$  counts up to 2 since  $W(rps) = \{r, s\}$  but  $W(rpsrps) = \{r, p, s\}$ .*

*We know that there is an  $H$  that counts up to  $t$  with  $2t$  elements. This is not optimal since we also know a conservative groupoid that counts up to 6 with only 5 elements.*

# Open puzzles

## Puzzle

*Say that a conservative groupoid  $H$  can count up to  $t$  if there exists a word  $u \in H^*$  s.t.  $W(u^{t-1}) \neq W(u^t)$ . For instance  $\{r, p, s\}$  counts up to 2 since  $W(rps) = \{r, s\}$  but  $W(rpsrps) = \{r, p, s\}$ .*

*We know that there is an  $H$  that counts up to  $t$  with  $2t$  elements. This is not optimal since we also know a conservative groupoid that counts up to 6 with only 5 elements.*

## Puzzle

*Find  $L$  and  $K$  such that  $L$  and  $K$  are recognizable by a conservative groupoid but  $L \cap K$  is not.*