
A Computational Interpretation of the Axiom of Determinacy in Arithmetic

Takanori Hida

Institute for Logic, Language and Computation,
University of Amsterdam

Research Institute for Mathematical Sciences,
Kyoto University

Brussels, 11 October 2012

Introduction

- ▶ In purely computational setting, how things are going different?
- ▶ Can we understand everything from a computational viewpoint?

Introduction

- ▶ In purely computational setting, how things are going different?
- ▶ Can we understand everything from a computational viewpoint?

Focusing on the property **determinacy**, we shall investigate these issues.

Introduction

- ▶ In purely computational setting, how things are going different?
- ▶ Can we understand everything from a computational viewpoint?

Focusing on the property **determinacy**, we shall investigate these issues.

“computational model” of the **axiom of determinacy** (AD) in classical arithmetic

Contents

- The axiom of determinacy
- Systems of arithmetic & Negative translation
- Realizability interpretation
- A realizer of AD
- More on this model
- Behavior of the realizer

The axiom of determinacy (1/4)

Let us consider perfect information games with two players, Player I and Player II, and a payoff set $A \subset \omega^\omega$

The axiom of determinacy (1/4)

Let us consider perfect information games with two players, Player I and Player II, and a payoff set $A \subset \omega^\omega$

I		x_0
II		

The axiom of determinacy (1/4)

Let us consider perfect information games with two players, Player I and Player II, and a payoff set $A \subset \omega^\omega$

I		x_0
II		y_0

The axiom of determinacy (1/4)

Let us consider perfect information games with two players, Player I and Player II, and a payoff set $A \subset \omega^\omega$

I	x_0	x_1
II	y_0	

The axiom of determinacy (1/4)

Let us consider perfect information games with two players, Player I and Player II, and a payoff set $A \subset \omega^\omega$

I	x_0	x_1
II	y_0	y_1

The axiom of determinacy (1/4)

Let us consider perfect information games with two players, Player I and Player II, and a payoff set $A \subset \omega^\omega$

I	x_0	x_1	\dots
II	y_0	y_1	\dots

The axiom of determinacy (1/4)

Let us consider perfect information games with two players, Player I and Player II, and a payoff set $A \subset \omega^\omega$

I	x_0	x_1	\dots
II	y_0	y_1	\dots

Definition 1. Player I **wins** in $G(A)$ if

$$\langle x_0, y_0, x_1, y_1, \dots \rangle \in A.$$

The axiom of determinacy (2/4)

A **strategy** for Player I is a function

$$\sigma : \{s \in \omega^{<\omega} \mid s \text{ is of even length}\} \rightarrow \omega$$

The axiom of determinacy (2/4)

A **strategy** for Player I is a function

$$\sigma : \{s \in \omega^{<\omega} \mid s \text{ is of even length}\} \rightarrow \omega$$

For any $y : \omega \rightarrow \omega$, put

$$\sigma * y := \langle \sigma(\langle \rangle), y(0), \sigma(\langle \sigma(\langle \rangle), y(0) \rangle), y(1), \dots \rangle$$

The axiom of determinacy (2/4)

A **strategy** for Player I is a function

$$\sigma : \{s \in \omega^{<\omega} \mid s \text{ is of even length}\} \rightarrow \omega$$

For any $y : \omega \rightarrow \omega$, put

$$\sigma * y := \langle \sigma(\langle \rangle), y(0), \sigma(\langle \sigma(\langle \rangle), y(0) \rangle), y(1), \dots \rangle$$

I		$\sigma(\langle \rangle)$
II		

The axiom of determinacy (2/4)

A **strategy** for Player I is a function

$$\sigma : \{s \in \omega^{<\omega} \mid s \text{ is of even length}\} \rightarrow \omega$$

For any $y : \omega \rightarrow \omega$, put

$$\sigma * y := \langle \sigma(\langle \rangle), y(0), \sigma(\langle \sigma(\langle \rangle), y(0) \rangle), y(1), \dots \rangle$$

I	$\sigma(\langle \rangle)$
II	$y(0)$

The axiom of determinacy (2/4)

A **strategy** for Player I is a function

$$\sigma : \{s \in \omega^{<\omega} \mid s \text{ is of even length}\} \rightarrow \omega$$

For any $y : \omega \rightarrow \omega$, put

$$\sigma * y := \langle \sigma(\langle \rangle), y(0), \sigma(\langle \sigma(\langle \rangle), y(0) \rangle), y(1), \dots \rangle$$

I	$\sigma(\langle \rangle)$	$\sigma(\langle \sigma(\langle \rangle), y(0) \rangle)$
II	$y(0)$	

The axiom of determinacy (2/4)

A **strategy** for Player I is a function

$$\sigma : \{s \in \omega^{<\omega} \mid s \text{ is of even length}\} \rightarrow \omega$$

For any $y : \omega \rightarrow \omega$, put

$$\sigma * y := \langle \sigma(\langle \rangle), y(0), \sigma(\langle \sigma(\langle \rangle), y(0) \rangle), y(1), \dots \rangle$$

I	$\sigma(\langle \rangle)$	$\sigma(\langle \sigma(\langle \rangle), y(0) \rangle)$
II	$y(0)$	$y(1)$

The axiom of determinacy (2/4)

A **strategy** for Player I is a function

$$\sigma : \{s \in \omega^{<\omega} \mid s \text{ is of even length}\} \rightarrow \omega$$

For any $y : \omega \rightarrow \omega$, put

$$\sigma * y := \langle \sigma(\langle \rangle), y(0), \sigma(\langle \sigma(\langle \rangle), y(0) \rangle), y(1), \dots \rangle$$

I		$\sigma(\langle \rangle)$	$\sigma(\langle \sigma(\langle \rangle), y(0) \rangle)$	\dots
II		$y(0)$	$y(1)$	\dots

The axiom of determinacy (3/4)

Definition 2. A strategy σ for I is **winning** if
$$\sigma * y \in A \text{ for all } y : \omega \rightarrow \omega.$$

A winning strategy for II is defined similarly.

The axiom of determinacy (3/4)

Definition 2. A strategy σ for I is **winning** if
$$\sigma * y \in A \text{ for all } y : \omega \rightarrow \omega.$$

A winning strategy for II is defined similarly.

Definition 3. $A \subset \omega^\omega$ is **determined** if
either Player I or Player II has a w.s. in $G(A)$.

The axiom of determinacy (3/4)

Definition 2. A strategy σ for I is **winning** if
$$\sigma * y \in A \text{ for all } y : \omega \rightarrow \omega.$$

A winning strategy for II is defined similarly.

Definition 3. $A \subset \omega^\omega$ is **determined** if
either Player I or Player II has a w.s. in $G(A)$.

The **axiom of determinacy** (AD):

Every $A \subset \omega^\omega$ is determined.

The axiom of determinacy (4/4)

- Determinacy in set theory:

The axiom of determinacy (4/4)

- Determinacy in set theory:
Very strong hypothesis
cf. relation to large cardinals

The axiom of determinacy (4/4)

- Determinacy in set theory:
Very strong hypothesis
cf. relation to large cardinals

- Determinacy in arithmetic:

The axiom of determinacy (4/4)

- Determinacy in set theory:
Very strong hypothesis
cf. relation to large cardinals
- Determinacy in arithmetic:
Lots of work on its strength both in 2^ω and in ω^ω in the area of classical reverse mathematics

Systems of arithmetic & Negative translation

- HA^ω ... base logic is intuitionistic
- $HA_{\underline{\quad}}^\omega$... base logic is **minimal**
- $HA_{\underline{c}}^\omega$... base logic is **classical**

Systems of arithmetic & Negative translation

- HA^ω ... base logic is intuitionistic
- HA_ω ... base logic is **minimal**
- HA_c^ω ... base logic is **classical**

Proposition 1. (BBC 98) $HA_c^\omega + DC \vdash \phi$ implies $HA_\omega + DC^K \vdash \phi^K$, where **K** is a negative translation ($\neg\neg$ in front of all prime and \exists).

Realizability interpretation (1/3)

Realizability interpretation (BBC 98):

assigns a term of the prog. lang. \mathcal{P} (= an extension of system T with list, \mathbf{Y} , ...) to each valid formula.

Realizability interpretation (1/3)

Realizability interpretation (BBC 98):

assigns a term of the prog. lang. \mathcal{P} (= an extension of system T with list, \mathbf{Y} , ...) to each valid formula.

\mathcal{P} enjoys **syntactic continuity**:

For any $\chi : (\mathbf{N} \rightarrow \mathbf{N}) \rightarrow \mathbf{N}$, $f : \mathbf{N} \rightarrow \mathbf{N}$ and $g : \mathbf{N} \rightarrow \mathbf{N}$, if f and g are “sufficiently close”, then $\chi f = \chi g$.

Realizability interpretation (2/3)

Definition 4. (The relation “ $t : |\phi| \textcircled{\mathbb{R}} \phi$ ”)

- $t : \text{Abs} \textcircled{\mathbb{R}} \perp$ if $t = \text{Axiom}_i k$
- $t : \text{Unit} \textcircled{\mathbb{R}} t_1 = t_2$ if $t = ()$ and both t_1 and t_2 reduce to the same numeral in \mathcal{P}
- $t : |\phi_1| \rightarrow |\phi_2| \textcircled{\mathbb{R}} \phi_1 \Rightarrow \phi_2$ if $tu \textcircled{\mathbb{R}} \phi_2$ for all $u \textcircled{\mathbb{R}} \phi_1$
- $t : |\phi_1| \times |\phi_2| \textcircled{\mathbb{R}} \phi_1 \wedge \phi_2$ if $t = \langle t_1, t_2 \rangle$ and $t_i \textcircled{\mathbb{R}} \phi_i$
- $t : \tau \rightarrow |\phi| \textcircled{\mathbb{R}} \forall x : \tau \phi$ if $tu \textcircled{\mathbb{R}} \phi[u/x]$ for all reducible $u : \tau$
- $t : \tau \times |\phi| \textcircled{\mathbb{R}} \exists x : \tau \phi$ if $t = \langle p, u \rangle$ with $p : \tau$ reducible and $u \textcircled{\mathbb{R}} \phi[p/x]$

Realizability interpretation (3/3)

We say ϕ is **realizable** if there exists a $\{\lambda, \text{Axiom}_i\}$ -free term t satisfying $t \textcircled{R} \phi$.

Realizability interpretation (3/3)

We say ϕ is **realizable** if there exists a $\{\lambda, \text{Axiom}_i\}$ -free term t satisfying $t \textcircled{R} \phi$.

Theorem 1. (BBC 98) Every theorem of $HA^\omega + DC^K$ is realizable.

Realizability interpretation (3/3)

We say ϕ is **realizable** if there exists a $\{\lambda, \text{Axiom}_i\}$ -free term t satisfying $t \textcircled{R} \phi$.

Theorem 1. (BBC 98) Every theorem of $HA_{\omega} + DC^K$ is realizable.

Remark 1. From Proposition 1 and the above theorem, we find that ϕ^K is realizable for every theorem ϕ of $HA_c^{\omega} + DC$.

A realizer of AD (1/4)

We claim that AD^K is realizable.

A realizer of AD (1/4)

We claim that AD^K is realizable.

Step 1. Formalize Gale-Stewart's thm in HA_c^ω
(If $A \subset \omega^\omega$ is open, then it is determined.)

A realizer of AD (1/4)

We claim that AD^K is realizable.

Step 1. Formalize Gale-Stewart's thm in HA_c^ω

(If $A \subset \omega^\omega$ is open, then it is determined.)

- **OP**(χ) := $\forall f \{ \chi(f) = 1 \Rightarrow$
 $\exists m \forall g (\text{eq}_{\leq m}(f, g) = 1 \Rightarrow \chi(g) = 1) \}$

Remark 2. $OP(\chi)$ expresses “ χ represents an open subset of ω^ω .”

A realizer of AD (2/4)

- **I has a w.s. in $G(\chi)$** :=
$$\exists \sigma : \mathbb{N} \rightarrow \mathbb{N} \forall y : \mathbb{N} \rightarrow \mathbb{N} (\chi(\sigma * y) = 1)$$
where $\sigma * y : \mathbb{N} \rightarrow \mathbb{N}$ is
$$\sigma * y (i) := \begin{cases} \sigma(\langle \langle \sigma * y(0), \dots, \sigma * y(i-1) \rangle \rangle) & (i : \text{even}) \\ y((i-1)/2) & (i : \text{odd}) \end{cases}$$
- **Det**(χ) := $\neg(I \text{ has a w.s. in } G(\chi)) \Rightarrow$
 $(II \text{ has a w.s. in } G(\chi))$
- **AD** := $\forall \chi \text{ Det}(\chi)$

A realizer of AD (2/4)

- **I has a w.s. in $G(\chi)$** :=
$$\exists \sigma : \mathbb{N} \rightarrow \mathbb{N} \forall y : \mathbb{N} \rightarrow \mathbb{N} (\chi(\sigma * y) = 1)$$
where $\sigma * y : \mathbb{N} \rightarrow \mathbb{N}$ is
$$\sigma * y (i) := \begin{cases} \sigma(\langle \langle \sigma * y(0), \dots, \sigma * y(i-1) \rangle \rangle) & (i : \text{even}) \\ y((i-1)/2) & (i : \text{odd}) \end{cases}$$
- **Det(χ)** := $\neg(I \text{ has a w.s. in } G(\chi)) \Rightarrow$
 $(II \text{ has a w.s. in } G(\chi))$
- **AD** := $\forall \chi \text{ Det}(\chi)$

Lemma 1. (Gale-Stewart)

$$HA_c^\omega + DC \vdash \forall \chi \{OP(\chi) \Rightarrow Det(\chi)\}.$$

A realizer of AD (3/4)

Step 2. Using syntactic continuity, prove :

A realizer of AD (3/4)

Step 2. Using syntactic continuity, prove :

Lemma 2. $\forall \chi \text{ } OP(\chi)^K$ is realizable.

A realizer of AD (3/4)

Step 2. Using syntactic continuity, prove :

Lemma 2. $\forall \chi \text{ } OP(\chi)^K$ is realizable.

The absence of **comprehension schema**:

$$\exists \chi \forall f \{ \chi(f) = \mathbf{1} \Leftrightarrow \phi(f) \}.$$

A realizer of AD (3/4)

Step 2. Using syntactic continuity, prove :

Lemma 2. $\forall \chi \text{ } OP(\chi)^K$ is realizable.

The absence of **comprehension schema**:

$$\exists \chi \forall f \{ \chi(f) = \mathbf{1} \Leftrightarrow \phi(f) \}.$$

In this world, **every** function is continuous
(represents open sets).

A realizer of AD (4/4)

Theorem 2. AD^K is realizable.

A realizer of AD (4/4)

Theorem 2. AD^K is realizable.

Proof. By Lemma 1 and Theorem 1,
 $\forall \chi \{OP(\chi) \Rightarrow Det(\chi)\}^K$ is realizable. Lemma 2
says $\forall \chi OP(\chi)^K$ is realizable. □

More on this model (1/2)

Some negative results / limits:

More on this model (1/2)

Some negative results / limits:

Proposition 2. Both $\neg AC(\mathbb{N} \rightarrow \mathbb{N}, \tau)^K$ and $\neg \{b\text{-}AC(\mathbb{N} \rightarrow \mathbb{N}, \tau)\}^K$ are realizable.

More on this model (1/2)

Some negative results / limits:

Proposition 2. Both $\neg AC(\mathbb{N} \rightarrow \mathbb{N}, \tau)^K$ and $\neg \{b\text{-}AC(\mathbb{N} \rightarrow \mathbb{N}, \tau)\}^K$ are realizable.

Remark 3. It would be difficult to realize (negative translation of) **real** determinacy.

More on this model (2/2)

Corollary 1. $HA_c^\omega + DC + AD + \neg AC(\mathbb{N} \rightarrow \mathbb{N}, \tau)$
is consistent.

More on this model (2/2)

Corollary 1. $HA_c^\omega + DC + AD + \neg AC(\mathbb{N} \rightarrow \mathbb{N}, \tau)$
is consistent.

Remark 4. $HA_c^\omega + DC \not\vdash AC(\mathbb{N} \rightarrow \mathbb{N}, \tau)$ follows
from the above Corollary, but it is well-known
that $HA_c^\omega + DC \vdash AC(\mathbb{N}, \tau)$.

More on this model (2/2)

Corollary 1. $HA_c^\omega + DC + AD + \neg AC(\mathbb{N} \rightarrow \mathbb{N}, \tau)$
is consistent.

Remark 4. $HA_c^\omega + DC \not\vdash AC(\mathbb{N} \rightarrow \mathbb{N}, \tau)$ follows
from the above Corollary, but it is well-known
that $HA_c^\omega + DC \vdash AC(\mathbb{N}, \tau)$.

cf. $(E - PA^\omega) + \bigcup_\tau \text{Comp}(\tau) + (b\text{-}AC) + DC \not\vdash$
 $(AC! - qf)^{\mathbb{N} \rightarrow \mathbb{N}, \mathbb{N}}$ (U. Kohlenbach, 1992)

Behavior of the realizer (1/2)

A realizer of a formula can be used as a **program** for that formula.

Behavior of the realizer (1/2)

A realizer of a formula can be used as a **program** for that formula.

How our program for AD work?

Behavior of the realizer (1/2)

A realizer of a formula can be used as a **program** for that formula.

How our program for AD work?

Our realizer heavily reflect the proof of GS's thm.
(Since everything is continuous in this world, AD essentially reduce to the proof of GS's thm.)

Behavior of the realizer (2/2)

Our realizer is **asymmetric** (Assuming that there is no w.s for I, a strategy for II is constructed.)

Behavior of the realizer (2/2)

Our realizer is **asymmetric** (Assuming that there is no w.s for I, a strategy for II is constructed.)

With the help of game-theoretical intuition, can we find a realizer which behaves **symmetrically**?

cf. The notion of backtracking in Coquand's game semantics.